

# INTERNATIONAL TAEKWON-DO FEDERATION ANTI-DOPING RULES

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# **International Taekwon-Do Federation Anti-Doping Rules**

#### INTRODUCTION

#### **Preface**

The Anti-Doping Rules are adopted and implemented in accordance with the International Taekwon-Do Federation's responsibilities under the *Code*, and in furtherance of the International Taekwon-Do Federation's continuing efforts to eradicate doping in the sport of Taekwon-Do as governed by the International Taekwon-Do Federation. The International Taekwon-Do Federation would like to extend its gratitude to *WADA* for their assistance in completing the International Taekwon-Do Federation Anti-Doping Rules based on the *WADA* Model. The terms "International Taekwon-Do Federation" and "ITF" shall be used interchangeably throughout these Anti-Doping Rules.

The Anti-Doping Rules are sport rules governing the conditions under which sport is played. Aimed at enforcing anti-doping principles in a global and harmonized manner, they are distinct in nature from criminal and civil laws, and are not intended to be subject to—or limited by any national requirements and legal standards applicable to criminal or civil proceedings. When reviewing the facts and the law of a given case, all courts, arbitral tribunals and other adjudicating bodies should be aware of and respect the distinct nature of these Anti-Doping Rules implementing the *Code* and the fact that these rules represent the consensus of a broad spectrum of stakeholders around the world as to what is necessary to protect and ensure fair sport.

# Fundamental Rationale for the *Code* and the International Taekwon-Do Federation's Anti-Doping Rules

Anti-doping programs seek to preserve what is intrinsically valuable about sport. This intrinsic value is often referred to as "the spirit of sport." It is the essence of both elitism and <u>Olympism</u> within the name of good sport, the pursuit of human excellence through the dedicated perfection of <u>each Person's</u> natural talents. It is how we play true. The spirit of sport is the celebration of the human spirit, body and mind, and <u>is reflected in values we find in and through sport, including:</u>

- Ethics, fair play and honesty
- Health
- Excellence in performance
- Character and education
- Fun and joy
- Teamwork
- Dedication and commitment
- Respect for rules and laws
- Respect for self and other *Participants*
- Courage

· Community and solidarity

Doping is fundamentally contrary to the spirit of sport.

At the International Taekwon-Do Federation Congress held on 27/11/09 in Mar del Plata in Argentina, the International Taekwon-Do Federation accepted the revised (2009) World Anti-Doping Code (the "Code"). These Anti-Doping Rules have been revised adopted and implemented in conformance with the International Taekwon-Do Federation's responsibilities under the 2015 Code, and are in furtherance of the International Taekwon-Do Federation's continuing efforts to eradicate doping in the sport of Taekwon-Do as governed by the International Taekwon-Do Federation.

# **Scope of these Anti-Doping Rules**

These Anti-Doping Rules shall apply to the International Taekwon-Do Federation and to each of its *National Federations*. They also apply to the following *Athletes*, *Athlete Support Personnel* and other *Persons*, each of whom is deemed, as a condition of his/her membership, accreditation and/or participation in the sport, to have agreed to be bound by these Anti-Doping Rules, and to have submitted to the authority of the International Taekwon-Do Federation to enforce these Anti-Doping Rules and to the jurisdiction of the hearing panels specified in Article 8 and Article 13 to hear and determine cases and appeals brought under these Anti-Doping Rules:

- a) all Athletes and Athlete Support Personnel who are members of the International Taekwon-Do Federation, or of any National Federation, or of any member or affiliate organization of any National Federation (including any clubs, teams, associations or leagues);
- b) all Athletes and Athlete Support Personnel participating in such capacity in Events, Competitions and other activities organized, convened, authorized or recognized by the International Taekwon-Do Federation, or any National Federation, or any member or affiliate organization of any National Federation (including any clubs, teams, associations or leagues), wherever held;
- c) any other *Athlete* or *Athlete Support Personnel* or other *Person* who, by virtue of an accreditation, a license or other contractual arrangement, or otherwise, is subject to the jurisdiction of the International Taekwon-Do Federation or of any *National Federation*, or of any member or affiliate organization of any *National Federation* (including any clubs, teams, associations or leagues), for purposes of anti-doping; To be eligible for participation in *International Events*, a competitor must have an International Taekwon-Do Federation license issued by his or her *National Federation*. The International Taekwon-Do Federation license will only be issued to competitors who have personally signed the Appendix 3 consent form, in the

actual form approved by the International Taekwon-Do Federation. All forms from *Minors* must be counter-signed by their legal guardians.

Within the overall pool of *Athletes* set out above who are bound by and required to comply with these Anti-Doping Rules, the following *Athletes* shall be considered to be *International-Level Athletes* for purposes of these Anti-Doping Rules, and therefore the specific provisions in these Anti-Doping Rules applicable to *International-Level Athletes* (as regards *Testing* but also as regards *TUEs*, whereabouts information, results management, and appeals) shall apply to such *Athletes*:

- a) Athletes within the following ranking:
  - World Medalist
  - World Cup Medalist
  - European Champions
  - National Champions
- b) Athletes who participate in ITF International Class Events, World Cups and National Championship. The complete list of those Events will be published by the ITF on its website at the following link: <a href="http://www.taekwondoitf.org">http://www.taekwondoitf.org</a>

#### ARTICLE 1 DEFINITION OF DOPING

Doping is defined as the occurrence of one or more of the anti-doping rule violations set forth in Article 2.1 through Article 2.10 of these Anti-Doping Rules.

### **ARTICLE 2 ANTI-DOPING RULE VIOLATIONS**

The purpose of Article 2 is to specify the circumstances and conduct which constitute anti-doping rule violations. Hearings in doping cases will proceed based on the assertion that one or more of these specific rules have been violated.

Athletes or other Persons shall be responsible for knowing what constitutes an antidoping rule violation and the substances and methods which have been included on the Prohibited List.

The following constitute anti-doping rule violations:

# 2.1 Presence of a *Prohibited Substance* or its *Metabolites* or *Markers* in an *Athlete's Sample*

**2.1.1** It is each *Athlete's* personal duty to ensure that no *Prohibited Substance* enters his or her body. *Athletes* are responsible for any *Prohibited Substance* or its *Metabolites* or *Markers* found to be present in their *Samples*. Accordingly, it is not necessary that intent, *Fault*, negligence or knowing *Use* on the *Athlete's* part be demonstrated in order to establish an anti-doping rule violation under Article 2.1.

#### [Comment to Article 2.1.1:

An anti-doping rule violation is committed under this Article without regard to an Athlete's Fault. This rule has been referred to in various CAS decisions as "Strict Liability". An Athlete's Fault is taken into consideration in determining the Consequences of this anti-doping rule violation under Article 10. This principle has consistently been upheld by CAS.]

**2.1.2** Sufficient proof of an anti-doping rule violation under Article 2.1 is established by any of the following: presence of a *Prohibited Substance* or its *Metabolites* or *Markers* in the *Athlete's* A *Sample* where the *Athlete* waives analysis of the B *Sample* and the B *Sample* is not analyzed; or, where the *Athlete's* B *Sample* is analyzed and the analysis of the *Athlete's* B *Sample* confirms the presence of the *Prohibited Substance* or its *Metabolites* or *Markers* found in the *Athlete's* A *Sample*; or, where the *Athlete's* B *Sample* is split into two bottles and the analysis of the second bottle confirms the presence of the *Prohibited Substance* or its *Metabolites* or *Markers* found in the first bottle.

[Comment to Article 2.1.2: The Anti-Doping Organization with results management responsibility may at-its discretion, choose to have the B Sample analyzed even if the Athlete does not request the analysis of the B Sample.]

- **2.1.3** Excepting those substances for which a quantitative threshold is specifically identified in the *Prohibited List*, the presence of any quantity of a *Prohibited Substance* or its *Metabolites* or *Markers* in an *Athlete's Sample* shall constitute an anti-doping rule violation.
- **2.1.4** As an exception to the general rule of Article 2.1, the *Prohibited List* or *International Standards* may establish special criteria for the evaluation of *Prohibited Substances* that can also be produced endogenously.

# 2.2 Use or Attempted Use by an Athlete of a Prohibited Substance or a Prohibited Method

[Comment to Article 2.2: It has always been the case that Use or Attempted Use of a Prohibited Substance or Prohibited Method may be established by any reliable means. As noted in the Comment to Article 3.2, unlike the proof required to establish an anti-doping rule violation under Article 2.1, Use or Attempted Use may also be established by other reliable means such as admissions by the Athlete, witness statements, documentary evidence, conclusions drawn from longitudinal profiling, including data collected as part of the Athlete Biological Passport, or other analytical information which does not otherwise satisfy all the requirements to establish "Presence" of a Prohibited Substance under Article 2.1. For example, Use may be

established based upon reliable analytical data from the analysis of an A Sample (without confirmation from an analysis of a B Sample) or from the analysis of a B Sample alone where the Anti-Doping Organization provides a satisfactory explanation for the lack of confirmation in the other Sample.]

- **2.2.1** It is each *Athlete's* personal duty to ensure that no *Prohibited Substance* enters his or her body and that no *Prohibited Method* is *Used*. Accordingly, it is not necessary that intent, *Fault*, negligence or knowing *Use* on the *Athlete's* part be demonstrated in order to establish an anti-doping rule violation for *Use* of a *Prohibited Substance* or a *Prohibited Method*.
- **2.2.2** The success or failure of the *Use* or *Attempted Use* of a *Prohibited Substance* or *Prohibited Method* is not material. It is sufficient that the *Prohibited Substance* or *Prohibited Method* was *Used* or *Attempted* to be *Used* for an anti-doping rule violation to be committed.

[Comment to Article 2.2.2: Demonstrating the "Attempted Use" of a Prohibited Substance or a Prohibited Method requires proof of intent on the Athlete's part. The fact that intent may be required to prove this particular anti-doping rule violation does not undermine the Strict Liability principle established for violations of Article 2.1 and violations of Article 2.2 in respect of Use of a Prohibited Substance or Prohibited Method.

An Athlete's "Use" of a Prohibited Substance constitutes an anti-doping rule violation unless such substance is not prohibited Out-of-Competition and the Athlete's Use takes place Out-of-Competition. (However, the presence of a Prohibited Substance or its Metabolites or Markers in a Sample collected In-Competition is a violation of Article 2.1 regardless of when that substance might have been administered.]

# 2.3 Evading, Refusing or Failing to Submit to Sample Collection

Evading *Sample* collection, or without compelling justification refusing or failing to submit to *Sample* collection after notification as authorized in these Anti-Doping Rules or other applicable anti-doping rules.

[Comment to Article 2.3: For example, it would be an anti-doping rule violation of "evading Sample collection" if it were established that an Athlete was deliberately avoiding a Doping Control official to evade notification or Testing. A violation of "failing to submit to Sample collection" may be based on either intentional or negligent conduct of the Athlete, while "evading" or "refusing" Sample collection contemplates intentional conduct by the Athlete.]

#### 2.4 Whereabouts Failures

Any combination of three missed tests and/or filing failures, as defined in the International Standard for Testing and Investigations, within a twelve-month period by an *Athlete* in *a Registered Testing Pool*.

# 2.5 Tampering or Attempted Tampering with any part of Doping Control

Conduct which subverts the *Doping Control* process but which would not otherwise be included in the definition of *Prohibited Methods. Tampering* shall include, without limitation, intentionally interfering or attempting to interfere with a *Doping Control* official, providing fraudulent information to an *Anti-Doping Organization*, or intimidating or attempting to intimidate a potential witness.

[Comment to Article 2.5: For example, this Article would prohibit altering identification numbers on a Doping Control form during Testing, breaking the B bottle at the time of B Sample analysis, or altering a Sample by the addition of a foreign substance. Offensive conduct towards a Doping Control official or other Person involved in Doping Control which does not otherwise constitute Tampering shall be addressed in the disciplinary rules of sport organizations.]

#### 2.6 Possession of a Prohibited Substance or a Prohibited Method

- **2.6.1** Possession by an Athlete In-Competition of any Prohibited Substance or any Prohibited Method, or Possession by an Athlete Out-of-Competition of any Prohibited Substance or any Prohibited Method which is prohibited Out-of-Competition unless the Athlete establishes that the Possession is consistent with a Therapeutic Use Exemption ("TUE") granted in accordance with Article 4.4 or other acceptable justification.
- **2.6.2** Possession by an Athlete Support Person In-Competition of any Prohibited Substance or any Prohibited Method, or Possession by an Athlete Support Person Out-of-Competition of any Prohibited Substance or any Prohibited Method which is prohibited Out-of-Competition, in connection with an Athlete, Competition or training, unless the Athlete Support Person establishes that the Possession is consistent with a TUE granted to an Athlete in accordance with Article 4.4 or other acceptable justification.

[Comment to Articles 2.6.1 and 2.6.2: Acceptable justification would not include, for example, buying or Possessing a Prohibited Substance for purposes of giving it to a friend or relative, except under justifiable medical circumstances where that Person had a physician's prescription, e.g., buying Insulin for a diabetic child.]

[Comment to Article 2.6.2: Acceptable justification would include, for example, a team doctor carrying Prohibited Substances for dealing with acute and emergency situations.]

- 2.7 Trafficking or Attempted Trafficking in any Prohibited Substance or Prohibited Method.
- 2.8 Administration or Attempted Administration to any Athlete In-Competition of any Prohibited Substance or Prohibited Method, or Administration or Attempted Administration to any Athlete Out-of-Competition of any Prohibited Substance or any Prohibited Method that is prohibited Out-of-Competition

# 2.9 Complicity

Assisting, encouraging, aiding, abetting, conspiring, covering up or any other type of intentional complicity involving an anti-doping rule violation, *Attempted* anti-doping rule violation or violation of Article 10.12.1 by another *Person*.

#### 2.10 Prohibited Association

Association by an *Athlete* or other *Person* subject to the authority of an *Anti-Doping Organization* in a professional or sport-related capacity with any *Athlete Support Person* who:

- **2.10.1** If subject to the authority of an *Anti-Doping Organization*, is serving a period of *Ineligibility*; or
- **2.10.2** If not subject to the authority of an *Anti-Doping Organization* and where *Ineligibility* has not been addressed in a results management process pursuant to the *Code*, has been convicted or found in a criminal, disciplinary or professional proceeding to have engaged in conduct which would have constituted a violation of anti-doping rules if *Code*-compliant rules had been applicable to such *Person*. The disqualifying status of such *Person* shall be in force for the longer of six years from the criminal, professional or disciplinary decision or the duration of the criminal, disciplinary or professional sanction imposed; or
- **2.10.3** Is serving as a front or intermediary for an individual described in Article 2.10.1 or 2.10.2.

In order for this provision to apply, it is necessary that the *Athlete* or other *Person* has previously been advised in writing by an *Anti-Doping Organization* with jurisdiction over the *Athlete* or other *Person*, or by *WADA*, of the *Athlete Support Person's* disqualifying status and the potential *Consequence* of prohibited association and that the *Athlete* or other *Person* can reasonably avoid the association. The *Anti-Doping Organization* shall also use reasonable

efforts to advise the *Athlete Support Person* who is the subject of the notice to the *Athlete* or other *Person* that the *Athlete Support Person* may, within 15 days, come forward to the *Anti-Doping Organization* to explain that the criteria described in Articles 2.10.1 and 2.10.2 do not apply to him or her. (Notwithstanding Article 17, this Article applies even when the *Athlete Support Person's* disqualifying conduct occurred prior to the effective date provided in Article 20.7.)

The burden shall be on the *Athlete* or other *Person* to establish that any association with *Athlete Support Personnel* described in Article 2.10.1 or 2.10.2 is not in a professional or sport-related capacity.

Anti-Doping Organizations that are aware of Athlete Support Personnel who meet the criteria described in Article 2.10.1, 2.10.2, or 2.10.3 shall submit that information to WADA.

[Comment to Article 2.10: Athletes and other Persons must not work with coaches, trainers, physicians or other Athlete Support Personnel who are Ineligible on account of an anti-doping rule violation or who have been criminally convicted or professionally disciplined in relation to doping. Some examples of the types of association which are prohibited include: obtaining training, strategy, technique, nutrition or medical advice; obtaining therapy, treatment or prescriptions; providing any bodily products for analysis; or allowing the Athlete Support Person to serve as an agent or representative. Prohibited association need not involve any form of compensation.]

# **ARTICLE 3 PROOF OF DOPING**

### 3.1 Burdens and Standards of Proof

The International Taekwon-Do Federation shall have the burden of establishing that an anti-doping rule violation has occurred. The standard of proof shall be whether the International Taekwon-Do Federation has established an anti-doping rule violation to the comfortable satisfaction of the hearing panel bearing in mind the seriousness of the allegation which is made. This standard of proof in all cases is greater than a mere balance of probability but less than proof beyond a reasonable doubt. Where these Anti-Doping Rules place the burden of proof upon the *Athlete* or other *Person* alleged to have committed an anti-doping rule violation to rebut a presumption or establish specified facts or circumstances, the standard of proof shall be by a balance of probability.

[Comment to Article 3.1: This standard of proof required to be met by the International Taekwon-Do Federation is comparable to the standard which is applied in most countries to cases involving professional misconduct.]

### 3.2 Methods of Establishing Facts and Presumptions

Facts related to anti-doping rule violations may be established by any reliable means, including admissions. The following rules of proof shall be applicable in doping cases:

[Comment to Article 3.2: For example, the International Taekwon-Do Federation may establish an anti-doping rule violation under Article 2.2 based on the Athlete's admissions, the credible testimony of third Persons, reliable documentary evidence, reliable analytical data from either an A or B Sample as provided in the Comments to Article 2.2, or conclusions drawn from the profile of a series of the Athlete's blood or urine Samples, such as data from the Athlete Biological Passport.]

**3.2.1** Analytical methods or decision limits approved by *WADA* after consultation within the relevant scientific community and which have been the subject of peer review are presumed to be scientifically valid.

Any *Athlete* or other *Person* seeking to rebut this presumption of scientific validity shall, as a condition precedent to any such challenge, first notify *WADA* of the challenge and the basis of the challenge. *CAS* on its own initiative may also inform *WADA* of any such challenge. At *WADA's* request, the *CAS* panel shall appoint an appropriate scientific expert to assist the panel in its evaluation of the challenge. Within 10 days of *WADA's* receipt of such notice, and *WADA's* receipt of the *CAS* file, *WADA* shall also have the right to intervene as a party, appear amicus curiae, or otherwise provide evidence in such proceeding.

**3.2.2** *WADA*-accredited laboratories, and other laboratories approved by *WADA*, are presumed to have conducted *Sample* analysis and custodial procedures in accordance with the International Standard for Laboratories. The *Athlete* or other *Person* may rebut this presumption by establishing that a departure from the International Standard for Laboratories occurred which could reasonably have caused the *Adverse Analytical Finding*. If the *Athlete* or other *Person* rebuts the preceding presumption by showing that a departure from the International Standard for Laboratories occurred which could reasonably have caused the *Adverse Analytical Finding*, then the International Taekwon-Do Federation shall have the burden to establish that such departure did not cause the *Adverse Analytical Finding*.

[Comment to Article <u>3.2.2</u>: The burden is on the Athlete or other Person to establish, by a balance of probability, a departure from the International Standard for Laboratories that could reasonably have caused the Adverse Analytical Finding. If the Athlete or other Person does so, the burden shifts to the International Taekwon-Do Federation to prove to the comfortable satisfaction of the hearing panel that the departure did not cause the Adverse Analytical Finding.]

- **3.2.3** Departures from any other *International Standard* or other antidoping rule or policy set forth in the *Code* or these Anti-Doping Rules which did not cause an *Adverse Analytical Finding* or other anti-doping rule violation shall not invalidate such evidence or results. If the *Athlete* or other *Person* establishes a departure from another *International Standard* or other anti-doping rule or policy which could reasonably have caused an anti-doping rule violation based on an *Adverse Analytical Finding* or other anti-doping rule violation, then the International Taekwon-Do Federation shall have the burden to establish that such departure did not cause the *Adverse Analytical Finding* or the factual basis for the anti-doping rule violation.
- **3.2.4** The facts established by a decision of a court or professional disciplinary tribunal of competent jurisdiction which is not the subject of a pending appeal shall be irrebuttable evidence against the *Athlete* or other *Person* to whom the decision pertained of those facts unless the *Athlete* or other *Person* establishes that the decision violated principles of natural justice.
- **3.2.5** The hearing panel in a hearing on an anti-doping rule violation may draw an inference adverse to the *Athlete* or other *Person* who is asserted to have committed an anti-doping rule violation based on the *Athlete's* or other *Person's* refusal, after a request made in a reasonable time in advance of the hearing, to appear at the hearing (either in person or telephonically as directed by the hearing panel) and to answer questions from the hearing panel or the International Taekwon-Do Federation.

#### ARTICLE 4THE PROHIBITED LIST

# 4.1 Incorporation of the *Prohibited List*

These Anti-Doping Rules incorporate the *Prohibited List*, which is published and revised by *WADA* as described in Article 4.1 of the *Code* 

[Comment to Article 4.1: The current Prohibited List is available on WADA's website at www.wada-ama.org.]

# 4.2 Prohibited Substances and Prohibited Methods Identified on the Prohibited List

#### **4.2.1** Prohibited Substances and Prohibited Methods

Unless provided otherwise in the *Prohibited List* and/or a revision, the *Prohibited List* and revisions shall go into effect under these Anti-Doping Rules three months after publication by *WADA*<sub>\*</sub> without requiring any further action by the International Taekwon-Do Federation or its *National Federations*. All *Athletes* and other *Persons* shall be bound by the *Prohibited List*, and any revisions thereto, from

the date they go into effect, without further formality. It is the responsibility of all *Athletes* and other *Persons* to familiarize themselves with the most up-to-date version of the *Prohibited List* and all revisions thereto.

### **4.2.2** *Specified Substances*

For purposes of the application of Article 10, all *Prohibited Substances* shall be *Specified Substances* except substances in the classes of anabolic agents and hormones and those stimulants and hormone antagonists and modulators so identified on the *Prohibited List*. The category of *Specified Substances* shall not include *Prohibited Methods*.

[Comment to Article 4.2.2: The Specified Substances identified in Article 4.2.2 should not in any way be considered less important or less dangerous than other doping substances. Rather, they are simply substances which are more likely to have been consumed by an Athlete for a purpose other than the enhancement of sport performance.]

#### 4.3 WADA's Determination of the Prohibited List

WADA's determination of the *Prohibited Substances* and *Prohibited Methods* that will be included on the *Prohibited List*, the classification of substances into categories on the *Prohibited List*, and the classification of a substance as prohibited at all times or *In-Competition* only, is final and shall not be subject to challenge by an *Athlete* or other *Person* based on an argument that the substance or method was not a masking agent or did not have the potential to enhance performance, represent a health risk or violate the spirit of sport.

# 4.4 Therapeutic Use Exemptions ("TUEs")

- **4.4.1** The presence of a *Prohibited Substance* or its *Metabolites* or *Markers,* and/or the *Use* or *Attempted Use, Possession* or *Administration* or *Attempted Administration* of a *Prohibited Substance* or *Prohibited Method*—shall not be considered an anti-doping rule violation if it is consistent with the provisions of a *TUE* granted in accordance with the International Standard for Therapeutic Use Exemptions.
- **4.4.2** If an *International-Level Athlete* is using a *Prohibited Substance* or a *Prohibited Method* for therapeutic reasons:
  - 4.4.2.1 Where the *Athlete* already has a *TUE* granted by his or her *National Anti-Doping Organization* for the substance or method in question, that *TUE* is not automatically valid for international-level *Competition*. However, the *Athlete* may apply to the ITF to recognize that *TUE*, in accordance with Article 7 of the International Standard for Therapeutic Use ITF ANTI-DOPING RULES

Exemptions. If that *TUE* meets the criteria set out in the International Standard for Therapeutic Use Exemptions, then the ITF shall recognize it for purposes of international-level Competition as well. If the International Federation considers that the TUE does not meet those criteria and so refuses to recognize it, the International Taekwon-Do Federation shall notify the Athlete and his or her National Anti-Doping Organization promptly, with reasons. The Athlete and the National Anti-Doping Organization shall have 21 days from such notification to refer the matter to WADA for review in accordance with Article 4.4.6. If the matter is referred to WADA for review, the TUE granted by the National Anti-Doping Organization remains valid for national-level Competition and Out-of-Competition Testing (but is not valid for internationallevel Competition) pending WADA's decision. If the matter is not referred to WADA for review, the TUE becomes invalid for any purpose when the 21-day review deadline expires.

[Comment to Article 4.4.2.1: Further to Articles 5.6 and 7.1(a) of the International Standard for Therapeutic Use Exemptions, the International Taekwon-Do Federation may publish notice on its website <a href="http://www.taekwondoitf.org">http://www.taekwondoitf.org</a> that it will automatically recognize TUE decisions (or categories of such decisions, e.g., as to particular substances or methods) made by National Anti-Doping Organizations. If an Athlete's TUE falls into a category of automatically recognized TUEs, then he/she does not need to apply to the International Taekwon-Do Federation for recognition of that TUE.

If the International Taekwon-Do Federation refuses to recognize a TUE granted by a National Anti-Doping Organization only because medical records or other information are missing that are needed to demonstrate satisfaction of the criteria in the International Standard for Therapeutic Use Exemptions, the matter should not be referred to WADA. Instead, the file should be completed and re-submitted to the International Taekwon-Do Federation.]

**4.4.2.2** If the *Athlete* does not already have a *TUE* granted by his/her National Anti-Doping Organization for the substance or method in question, the Athlete must apply directly to the International Taekwon-Do Federation for a TUE in accordance with the process set out in the International Standard for Therapeutic Use Exemptions, using the form posted on the International Taekwon-Do Federation website at http://www.taekwondoitf.org. If the International Taekwon-Do Federation denies the Athlete's application, it must notify the Athlete promptly, with reasons. If the International Taekwon-Do Federation grants the *Athlete's* application, it shall notify not only the Athlete but also his/her National Anti-Doping Organization. If the National Anti-Doping Organization considers that the TUE granted by the International Taekwon-Do ITF ANTI-DOPING RULES

Federation does not meet the criteria set out in the International Standard for Therapeutic Use Exemptions, it has 21 days from such notification to refer the matter to WADA for review in accordance with Article 4.4.6. If the National Anti-Doping Organization refers the matter to WADA for review, the TUE granted by the International Taekwon-Do Federation remains valid for international-level Competition and Out-of-Competition Testing (but is not valid for national-level Competition) pending WADA's decision. If the National Anti-Doping Organization does not refer the matter to WADA for review, the TUE granted by the International Taekwon-Do Federation becomes valid for national-level Competition as well when the 21-day review deadline expires.

[Comment to Article 4.4.2: The International Taekwon-Do Federation- may agree with a National Anti-Doping Organization that the National Anti-Doping Organization will consider TUE applications on behalf of the International Taekwon-Do Federation.]

- **4.4.3** If the International Taekwon-Do Federation chooses to test an *Athlete* who is not an *International-Level Athlete*, the International Taekwon-Do Federation shall recognize a *TUE* granted to that *Athlete* by his or her *National Anti-Doping Organization*. If the International Taekwon-Do Federation chooses to test an *Athlete* who is not an *International-Level* or a *National-Level Athlete*, the ITF shall permit that *Athlete* to apply for a retroactive *TUE* for any *Prohibited Substance* or *Prohibited Method* that he/she is using for therapeutic reasons.
- **4.4.4** An application to the International Taekwon-Do Federation for grant or recognition of a *TUE* must be made as soon as the need arises, and in any event (save in emergency or exceptional situations) at least 30 days before the *Athlete's* next *Competition*.

As per Article 4.3 of the International Standard for Therapeutic Use Exemptions, an *Athlete* may only be granted retroactive approval for his/her Therapeutic *Use* of a *Prohibited Substance* or *Prohibited Method* (i.e., a retroactive *TUE*) if:

- a) Emergency treatment or treatment of an acute medical condition was necessary; or
- b) Due to other exceptional circumstances, there was insufficient time or opportunity for the *Athlete* to submit, or for the TUE Committee to consider, an application for the *TUE* prior to *Sample* collection; or
- c) The applicable rules required the *Athlete* or permitted the *Athlete* (see *Code* Article 4.4.5) to apply for a retroactive *TUE*; or
- d) It is agreed, by *WADA* and by the *Anti-Doping Organization* to whom the application for a retroactive TUE is or would be made, that fairness requires the grant of a retroactive *TUE*.

The International Taekwon-Do Federation Anti-Doping Chairperson shall appoint a standing panel of at least three physicians to consider applications for the grant or recognition of *TUEs* (the "TUE Committee"). Upon receipt of a *TUE* request, the ITF's Anti-Doping Chairperson shall convene the TUE Committee which will consider such request. The TUE Committee shall promptly evaluate and decide upon the application in accordance with the relevant provisions of the International Standard for Therapeutic Use Exemptions and the specific ITF's protocols posted on its website. Subject to Article 4.4.6 of these Rules, its decision shall be the final decision of the International Taekwon-Do Federation, and shall be reported to *WADA*, the International Taekwon-Do Federation and other relevant *Anti-Doping Organizations*, including the *Athlete's National Anti-Doping Organizations*, through *ADAMS*, in accordance with the *International Standard* for Therapeutic Use Exemptions.

[Comment to Article 4.4.4: The submission of false or misleadingly incomplete information in support of a TUE application (including but not limited to the failure to advise of the unsuccessful outcome of a prior application to another Anti-Doping Organization for such a TUE) may result in a charge of Tampering or Attempted Tampering under Article 2.5.

An Athlete should not assume that his/her application for grant or recognition of a TUE (or for renewal of a TUE) will be granted. Any Use or Possession or Administration of a Prohibited Substance or Prohibited Method before an application has been granted is entirely at the Athlete's own risk.]

#### 4.4.5 Expiration, Cancellation, Withdrawal or Reversal of a TUE

- **4.4.5.1** A *TUE* granted pursuant to these Anti-Doping Rules: (a) shall expire automatically at the end of any term for which it was granted, without the need for any further notice or other formality; (b) may be cancelled if the *Athlete* does not promptly comply with any requirements or conditions imposed by the TUE Committee upon grant of the *TUE*; (c) may be withdrawn by the TUE Committee if it is subsequently determined that the criteria for grant of a *TUE* are not in fact met; or (d) may be reversed on review by *WADA* or on appeal.
- **4.4.5.2** In such event, the *Athlete* shall not be subject to any *Consequences* based on his/her *Use* or *Possession* or *Administration* of the *Prohibited Substance* or *Prohibited Method* in question in accordance with the *TUE* prior to the effective date of expiry, cancellation, withdrawal or reversal of the *TUE*. The review pursuant to Article 7.2 of any subsequent *Adverse Analytical Finding* shall include consideration of whether such finding is consistent with *Use* of the *Prohibited Substance* or

Prohibited Method prior to that date, in which event no antidoping rule violation shall be asserted.

# 4.4.6 Reviews and Appeals of *TUE* Decisions

- **4.4.6.1** *WADA* shall review any decision by the International Taekwon-Do Federation not to recognize a *TUE* granted by the *National Anti-Doping Organization* that is referred *to WADA* by the *Athlete* or the *Athlete's National Anti-Doping Organization*. In addition, *WADA* shall review any decision by the International Taekwon-Do Federation to grant a *TUE* that is referred *to WADA* by the *Athlete's National Anti-Doping Organization*. *WADA* may review any other *TUE* decisions at any time, whether upon request by those affected or on its own initiative. If the *TUE* decision being reviewed meets the criteria set out in the International Standard for Therapeutic Use Exemptions, *WADA* will not interfere with it. If the *TUE* decision does not meet those criteria, *WADA* will reverse it.
- **4.4.6.2** Any *TUE* decision by the International Taekwon-Do Federation (or by a *National Anti-Doping Organization* where it has agreed to consider the application on behalf of the International Taekwon-Do Federation) that is not reviewed by *WADA*, or that is reviewed by *WADA* but is not reversed upon review, may be appealed by the *Athlete* and/or the *Athlete's National Anti-Doping Organization* exclusively to *CAS*, in accordance with Article 13.

[Comment to Article 4.4.6.2: In such cases, the decision being appealed is the International Taekwon-Do Federation's TUE decision, not WADA's decision not to review the TUE decision or (having reviewed it) not to reverse the TUE decision. However, the deadline to appeal the TUE decision does not begin to run until the date that WADA communicates its decision. In any event, whether the decision has been reviewed by WADA or not, WADA shall be given notice of the appeal so that it may participate if it sees fit.]

- **4.4.6.3** A decision by *WADA* to reverse a *TUE* decision may be appealed by the *Athlete*, the *National Anti-Doping Organization* and/or the ITF exclusively to *CAS*, in accordance with Article 13.
- **4.4.6.4** A failure to take action within a reasonable time on a properly submitted application for grant or recognition of a *TUE* or for review of a *TUE* decision shall be considered a denial of the application.

#### ARTICLE 5 TESTING AND INVESTIGATIONS

# **5.1** Purpose of *Testing* and Investigations

Testing and investigations shall only be undertaken for anti-doping purposes. They shall be conducted in conformity with the provisions of the International Standard for Testing and Investigations and the specific protocols of the ITF supplementing that International Standard.

**5.1.1** Testing shall be undertaken to obtain analytical evidence as to the Athlete's compliance (or non-compliance) with the strict Code prohibition on the presence/Use of a Prohibited Substance or Prohibited Method. Test distribution planning, Testing, post-Testing activity and all related activities conducted by the International Taekwon-Do Federation shall be in conformity with the International Standard for Testing and Investigations. The ITF shall determine the number of finishing placement tests, random tests and target tests to be performed, in accordance with the criteria established by the International Standard for Testing and Investigations. All provisions of the International Standard for Testing and Investigations shall apply automatically in respect of all such Testing.

#### **5.1.2** Investigations shall be undertaken:

- **5.1.2.1** in relation to *Atypical Findings*, *Atypical Passport Findings* and *Adverse Passport Findings*, in accordance with Articles 7.4 and 7.5 respectively, gathering intelligence or evidence (including, in particular, analytical evidence) in order to determine whether an anti-doping rule violation has occurred under Article 2.1 and/or Article 2.2; and
- **5.1.2.2** in relation to other indications of potential anti-doping rule violations, in accordance with Articles 7.6 and 7.7, gathering intelligence or evidence (including, in particular, non-analytical evidence) in order to determine whether an anti-doping rule violation has occurred under any of Articles 2.2 to 2.10.
- **5.1.3** The International Taekwon-Do Federation may obtain, assess and process anti-doping intelligence from all available sources, to inform the development of an effective, intelligent and proportionate test distribution plan, to plan *Target Testing*, and/or to form the basis of an investigation into a possible anti-doping rule violation(s).

### **5.2 Authority to conduct** *Testing*

**5.2.1** Subject to the jurisdictional limitations for *Event Testing* set out in Article 5.3 of the *Code*, the International Taekwon-Do Federation shall have *In-Competition* and *Out-of-Competition Testing* authority over all of the *Athletes* specified in the Introduction to these Anti-Doping Rules (under the heading "Scope").

**5.2.2** The International Taekwon-Do Federation may require any *Athlete* over whom it has *Testing* authority (including any *Athlete* serving a period of *Ineligibility*) to provide a *Sample* at any time and at any place.

[Comment to Article 5.2.2: Unless the Athlete has identified a 60-minute time-slot for Testing between the hours of 11pm and 6am, or has otherwise consented to Testing during that period, the International Taekwon-Do Federation will not test an Athlete during that period unless it has a serious and specific suspicion that the Athlete may be engaged in doping. A challenge to whether the ITF had sufficient suspicion for Testing in that period shall not be a defense to an anti-doping rule violation based on such test or attempted test.]

- **5.2.3** *WADA* shall have *In-Competition* and *Out-of-Competition Testing* authority as set out in Article 20.7.8 of the *Code*.
- **5.2.4** If the International Taekwon-Do Federation delegates or contracts any part of *Testing* to a *National Anti-Doping Organization* (directly or through a *National Federation*), that *National Anti-Doping Organization* may collect additional *Samples* or direct the laboratory to perform additional types of analysis at the *National Anti-Doping Organization's* expense. If additional *Samples* are collected or additional types of analysis are performed, the International Taekwon-Do Federation shall be notified.

#### 5.3 Event Testing

- **5.3.1** Except as provided in Article 5.3 of the *Code*, only a single organization should be responsible for initiating and directing *Testing* at *Event Venues* during an *Event Period*. At *International Events*, the collection of *Samples* shall be initiated and directed by the International Taekwon-Do Federation (or by the organizer of the *Event* as delegated by the International Taekwon-Do Federation (or by the organizer of the *Event* as delegated by the International Taekwon-Do Federation), any *Testing* during the *Event Period* outside of the *Event Venues* shall be coordinated with the International Taekwon-Do Federation (or the relevant ruling body of the *Event*).
- **5.3.2** If an *Anti-Doping Organization* which would otherwise have *Testing* authority but is not responsible for initiating and directing *Testing* at an *Event* desires to conduct *Testing* of *Athletes* at the *Event Venues* during the *Event Period*, the *Anti-Doping Organization* shall first confer with the International Taekwon-Do Federation (or by the organizer of the *Event* as delegated by the International Taekwon-Do Federation) to obtain permission to conduct and coordinate such *Testing*. If the *Anti-Doping Organization* is not satisfied with the response from the International Taekwon-Do Federation (or by the

organizer of the *Event* as delegated by the International Taekwon-Do Federation), the *Anti-Doping Organization* may ask *WADA* for permission to conduct *Testing* and to determine how to coordinate such *Testing*, in accordance with the procedures set out in the International Standard for Testing and Investigations. *WADA* shall not grant approval for such *Testing* before consulting with and informing the International Taekwon-Do Federation (or by the organizer of the *Event* as delegated by the International Taekwon-Do Federation). *WADA's* decision shall be final and not subject to appeal. Unless otherwise provided in the authorization to conduct *Testing*, such tests shall be considered *Out-of-Competition* tests. Results management for any such test shall be the responsibility of the *Anti-Doping* Organization initiating the test unless provided otherwise in the rules of the ruling body of the *Event*.

- **5.3.3** Where the International Taekwon-Do Federation delegates the organization of its *International Events* or *Competitions* (the list of those *Events* or *Competitions* will be published each year on the ITF's website), the organizer must plan and conduct *Testing* during the *Event* or *Competition*, and must ensure that during the *Event* or *Competition* the necessary facilities, *Sample* collection materials and *Doping Control* personnel are available, and that the *Testing* procedures are correctly applied in accordance with the International Standard for Testing and Investigations.
- **5.3.4** For *International Events* or *Competitions* organized by the International Taekwon-Do Federation, ITF Anti-Doping shall be responsible for coordinating all *Doping Controls*, in accordance with Article 5.3 of the *Code* and the International Standard for Testing and Investigations.
- **5.3.5** For all International *Competitions* or *Events* delegated or organized by the International Taekwon-Do Federation, the International Taekwon-Do Federation shall remain the *Testing* and results management authority.
- **5.3.6** The overall costs of *Testing* and *Sample* analysis is the responsibility of the International Taekwon-Do Federation Organizing Committee and/or the *National Federation* of the country in which the *Competition* or *Event* is taking place. However, the ITF shall have the overall responsibility to ensure that the *Testing* program and any results management is conducted in accordance with the *Code* and applicable *International Standards*.

#### 5.4 Test Distribution Planning

Consistent with the International Standard for Testing and Investigations, and in coordination with other *Anti-Doping Organizations* conducting *Testing*ITF ANTI-DOPING RULES

on the same *Athletes*, the International Taekwon-Do Federation shall develop and implement an effective, intelligent and proportionate test distribution plan that prioritizes appropriately between disciplines, categories of *Athletes*, types of *Testing*, types of *Samples* collected, and types of *Sample* analysis, all in compliance with the requirements of the International Standard for Testing and Investigations. The International Taekwon-Do Federation shall provide *WADA* upon request with a copy of its current test distribution plan.

# 5.5 Coordination of Testing

Where reasonably feasible, *Testing* shall be coordinated through *ADAMS* or another system approved by *WADA* in order to maximize the effectiveness of the combined *Testing* effort and to avoid unnecessary repetitive *Testing*.

### 5.6 Athlete Whereabouts Information

- 5.6.1 The International Taekwon-Do Federation shall identify a Registered Testing Pool of those Athletes who are required to comply with the whereabouts requirements of Annex I of the International Standard for Testing and Investigations, and shall make available through ADAMS, a list which identifies those Athletes included in its Registered Testing Pool either by name or by clearly defined, specific criteria. The International Taekwon-Do Federation shall coordinate with National Anti-Doping Organizations the identification of such Athletes and the collection of their whereabouts information. The International Taekwon-Do Federation shall review and update as necessary its criteria for including Athletes in its Registered Testing Pool, and shall revise the membership of its Registered Testing Pool from time to time as appropriate in accordance with the set criteria. Athletes shall be notified before they are included in a Registered Testing Pool and when they are removed from that pool. Each Athlete in the Registered Testing Pool shall do the following, in each case in accordance with Annex I of the International Standard for Testing and Investigations: (a) advise the International Taekwon-Do Federation of his/her whereabouts on a quarterly basis (b) update that information as necessary so that it remains accurate and complete at all times; and (c) make him/herself available for *Testing* at such whereabouts
- **5.6.2** For purposes of Article 2.4, an *Athlete's* failure to comply with the requirements of the International Standard for Testing and Investigations shall be deemed a filing failure or a missed test (as defined in the International Standard for Testing and Investigations) where the conditions set forth in the International Standard for Testing and Investigations for declaring a filing failure or missed test are met.
- **5.6.3** An Athlete in the International Taekwon-Do Federation's Registered Testing Pool shall continue to be subject to-the obligation to ITF ANTI-DOPING RULES

comply with the whereabouts requirements of Annex I of the International Standard for Testing and Investigations unless and until (a) the *Athlete* gives written notice to the International Taekwon-Do Federation that he/she has retired or (b) the International Taekwon-Do Federation has informed him or her that he/she no longer satisfies the criteria for inclusion in the International Taekwon-Do Federation's *Registered Testing Pool*.

- **5.6.4** Whereabouts information relating to an *Athlete* shall be shared (through *ADAMS*) with *WADA* and other *Anti-Doping Organizations* having authority to test that *Athlete*, shall be maintained in strict confidence at all times, shall be used exclusively for the purposes set out in Article 5.6 of the *Code*, and shall be destroyed in accordance with the International Standard for the Protection of Privacy and Personal Information once it is no longer relevant for these purposes.
- **5.6.5** Each National Federation shall use its best efforts to ensure that Athletes, who are subject to that National Federation's authority and are selected in the ITF's Registered Testing Pool, fulfill as required their whereabouts requirements. However, the ultimate responsibility for providing whereabouts information rests with each Athlete. Every National Federation shall report to the International Taekwon-Do Federation with the relevant contact details (names, postal and email addresses etc.) of all Athletes identified to be part of the Registered Testing Pool established by the ITF.

# 5.7 Retired Athletes Returning to Competition

- **5.7.1** An *Athlete* in the International Taekwon-Do Federation's *Registered Testing Pool* who has given notice of retirement to the International Taekwon-Do Federation may not resume competing in *International Events* or *National Events* until he/she has given the ITF written notice of his/her intent to resume competing and has made him/herself available for *Testing* for a period of six months before returning to *Competition*, including (if requested) complying with the whereabouts requirements of Annex I of the International Standard for Testing and Investigations. *WADA*, in consultation with the ITF and the *Athlete's National Anti-Doping Organization*, may grant an exemption to the six-month written notice rule where the strict application of that rule would be manifestly unfair to an *Athlete*. This decision may be appealed under Article 13. Any competitive results obtained in violation of this Article 5.7.1 shall be *Disqualified*.
- **5.7.2** If an *Athlete* retires from sport while subject to a period of *Ineligibility*, the *Athlete* shall not resume competing in *International Events* or *National Events* until the *Athlete* has given six months prior written notice (or notice equivalent to the period of *Ineligibility* remaining as of the date the *Athlete* retired, if that period was longer

than six months) to the International Taekwon-Do Federation and to his/her *National Anti-Doping Organization* of his/her intent to resume competing and has made him/herself available for *Testing* for that notice period, including (if requested) complying with the whereabouts requirements of Annex I of the International Standard for Testing and Investigations.

#### 5.8 Selection of Athletes to be tested

- **5.8.1** At its International *Competitions* or *Events*, the International Taekwon-Do Federation shall determine the number of finishing tests, random tests and target tests to be performed.
- **5.8.2** In order to ensure that *Testing* is conducted on a no advance notice *Testing* basis, the *Athlete* selection decisions shall only be disclosed in advance of *Testing* to those who need to know in order for such *Testing* to be conducted.

# 5.9 In-Competition Testing Procedure

- **5.9.1** The following articles provide essential information concerning the procedure to be followed for *In-Competition Testing*. This *Testing* procedure shall be in conformity with the requirements of the International Standard for Testing and Investigations. Any information included in the International Standard for Testing and Investigations which is not provided for in the subsequent articles is still fully applicable for *In-Competition Testing*. In the event of any conflict with the provisions of the International Standard for Testing and Investigations, the provisions of International Standard for Testing and Investigations shall prevail.
- **5.9.2** Upon selection of an *Athlete* for *Doping Control* during a *Competition* or *Event*, the following procedures shall be followed.
- **5.9.3** Immediately after the *Athlete* has completed his/her *Competition*, the official responsible for notifying the *Athlete* for *Doping Control* (whether the *Doping Control* officer (DCO) or chaperone) shall first identify themselves to the *Athlete* using the documentation referred to in Article 5.3.3 of the International Standard for Testing and Investigations. The DCO/chaperone will then confirm the *Athlete's* identity as per the criteria established in Article 5.3.4 of the International Standard for Testing and Investigations. Finally, the DCO/chaperone will write the name of the *Athlete* on the official notification form and present it to the *Athlete*, as discreetly as possible. The *Athlete* shall sign to confirm receipt of the notification and retain a copy. The time of signing shall be recorded on the form.

- **5.9.4** The DCO/chaperone shall, from the time of such contact until the *Athlete* leaves the Doping Control station at the end of his/her *Sample* collection session, keep the *Athlete* under observation at all times. Even if the *Athlete* indicates reluctance to report to the *Doping Control* station, the chaperone shall keep the *Athlete* in view until there is no question that the *Athlete* has refused to submit to *Doping Control*.
- **5.9.5** If an *Athlete* refuses to sign the notification form, or evades the notification, the chaperone/DCO shall, if possible, inform the *Athlete* of the *Consequences* of refusing or failing to comply, and the chaperone (if not the DCO) shall immediately report all relevant facts to the DCO. If the *Athlete* fails or refuses to sign this notice or fails to report to the *Doping Control* station as required, the *Athlete* shall be deemed to have refused to submit to *Doping Control* for the purpose of Articles 2.3 and 10.3.1 of these rules.
- **5.9.6** The *Athlete* is required to report immediately to the *Doping Control* station for *Sample* collection, unless there is a valid reason for a delay, as determined in accordance with Article 5.9.7.
- **5.9.7** The *Athlete* has the right to ask the DCO or chaperone for the permission to delay reporting to the *Doping Control* station and/or to leave the *Doping Control* station temporarily after arrival, but the request may be granted only if the *Athlete* can be continuously chaperoned and kept under direct observation during the delay, and if the request relates to the following activities:
- a) Participation in a presentation ceremony;
- b) Fulfilment of media commitments;
- c) Competing in further *Competitions*;
- d) Performing a warm down;
- e) Obtaining necessary medical treatment;
- f) Locating a representative and/or interpreter;
- g) Obtaining photo identification; or
- h) Any other reasonable circumstances as determined by the DCO, taking into account any instructions of the International Taekwon-Do Federation (the *Testing* authority and the results management authority).
- **5.9.8** The *Athlete* shall be entitled to be accompanied to the *Doping Control* station by (i) a *Competition*-accredited representative from his/her *National Federation*, and (ii) an interpreter if required.
- **5.9.9** *Minor Athletes* shall be entitled to be accompanied by a representative who may observe the witnessing DCO/chaperone when the *Minor Athlete* is passing a urine *Sample*, but the representative

cannot directly observe the passing of the urine *Sample* unless requested to do so by the *Minor*.

- **5.9.10** The *Athlete* must show a valid identification document at the *Doping Control* station. The *Athlete's* time of arrival at the *Doping Control* station shall be recorded on the *Doping Control* form.
- **5.9.11** Only the following *Persons* may be present in the *Doping Control* station:
- a) The Doping Control officer/s and the chaperone/s;
- b) Staff assigned to the station;
- c) Authorized interpreters;
- d) The Athletes selected for Doping Control and their representative;
- e) The WADA's Independent Observer.

Media personnel shall not be permitted to enter the *Doping Control* station.

The doors of the station must not be left open.

No photography or filming shall be permitted in the *Doping Control* station.

# **5.10** *Sample* Collection Procedure

- **5.10.1** The following articles provide essential information regarding the procedure for the collection of *Samples*. This procedure shall be in conformity with the requirements of the International Standard for Testing and Investigations. Any information included in the International Standard for Testing and Investigations which is not provided for in the subsequent articles is still fully applicable for *Testing* procedures. In the event of any conflict with the provisions of the International Standard for Testing and Investigations, the provisions of International Standard for Testing and Investigations shall prevail.
- **5.10.2** In conducting the *Sample* collection session, the *Athlete* shall provide the following information:
- a) The *Athlete's* name;
- b) The Athlete's date of birth;
- c) The *Athlete's* gender;
- d) The Athlete's home address, email address and telephone number;
- e) The Athlete's sport and discipline;
- f) The name of the Athlete's coach and doctor;
- g) Any medications and supplements taken within the previous seven (7) days and (where the *Sample* collected is blood) any blood transfusions within the previous three (3) month;

- h) The *Athlete's* comments or concerns regarding the conduct of the *Sample* collection session;
- i) The Athlete's consent for the processing of Sample collection data.
- j) The *Athlete's* consent or otherwise for the use of the *Sample(s)* for research purpose;
- k) The name and signature of the *Athlete's* representatives (if applicable);
- I) The name and signature of the *Athlete*.
- **5.10.3** The *Sample* collection session documentation shall also provide the names and signatures of those present at the *Doping Control* station and involved in the obtaining of the *Sample*, including the witnessing DCO/chaperone, the blood collection officer, and the DCO. Any irregularities in procedures must also be registered in the documentation.
- **5.10.4** The DCO shall provide the *Athlete* with a copy of the records of the *Sample* collection session that have been signed by the *Athlete*.
- **5.10.5** The DCO shall send all relevant *Sample* collection session documentation to the following four recipients:
- a) The Sample collection authority, using the Sample collection authority's authorized transport method, as soon as practicable after the completion of the Sample collection session;
- b) The laboratory which will be analyzing the *Samples* (all the while ensuring that any documentation identifying the *Athlete* is not included):
- c) The ITF Head Office by the day after the Competition;
- d) The ITF Anti-Doping Chairperson.
- **5.10.6** Documentation related to a *Sample* collection session and/or an anti-doping rule violation shall be stored by the *Testing* authority and/or the *Sample* collection authority for the period specified in the International Standard for the Protection of Privacy and Personal Information.
- **5.10.7** For the *Sample* collection, the DCO shall instruct the *Athlete* to select a collection vessel. The *Athlete* shall select a collection vessel and the DCO shall instruct the *Athlete* to check that all seals on the selected equipment are intact and the equipment has not been tampered with.
- **5.10.8** For urine *Samples*, the DCO and the *Athlete* will then proceed to an area of privacy to collect a *Sample*. The DCO shall verify, in full view of the *Athlete*, that the suitable volume of urine for analysis has been provided under the direct supervision of, and within the view of,

the DCO or appropriate official who shall be of the same gender as the *Athlete*.

- **5.10.9** The *Athlete* shall remain in the *Doping Control* station until he or she has fulfilled the requirements for suitable volume of urine for analysis. If the *Athlete* is unable to provide the required amount, the urine which is collected shall be sealed in a container. This container shall remain sealed and within the custody of the DCO. While waiting to provide an additional *Sample*, the *Athlete* shall remain under continuous observation and be given the opportunity to hydrate. When the *Athlete* is able to provide an additional *Sample*, the procedure as described in Articles 5.10.7 and 5.10.8 shall be followed. When the DCO is satisfied that the requirements for suitable volume of urine for analysis have been met, the DCO shall direct the *Athlete* to break the seal of the previous provided *Sample* and to combine the *Samples*.
- **5.10.10** Once the volume of urine provided by the *Athlete* is sufficient, the DCO shall instruct the *Athlete* to select a *Sample* collection kit containing A and B bottles. The *Athlete* shall ensure the containers are empty and clean.
- **5.10.11** Once a *Sample* collection kit has been selected, the DCO and the *Athlete* shall check that all code numbers match and that this code number is recorded accurately by the DCO on the *Doping Control* form.
- **5.10.12** The *Athlete* shall pour the minimum suitable volume of urine for analysis into the B bottle (to a minimum of 30mL), and then pour the remainder of the urine into the A bottle (to a minimum of 60ml). The DCO shall instruct the *Athlete* to ensure that a small amount of urine is left in the collection vessel, explaining that this is to enable the DCO to test the residual urine in the collection vessel to determine if the *Sample* has a suitable volume of urine for analysis.
- **5.10.13** The DCO should continue to collect additional *Samples* until the requirement for suitable specific gravity for analysis is met, or until the DCO determines that there are exceptional circumstances which mean that for logistical reasons it is impossible to continue with the *Sample* collection session. Such exceptional circumstances shall be documented accordingly by the DCO.
- **5.10.14** For blood *Samples*, the blood collection officer (BCO) has the responsibility for collecting the blood *Sample* and answering related questions during the provision of the *Sample*.

The amount of blood removed shall be adequate to satisfy the relevant analytical requirements for the *Sample* analysis to be performed, as set out in *WADA's* Blood Collection Guidelines.

If the amount of blood that can be removed from the *Athlete* at the first attempt is insufficient, the BCO shall repeat the procedure up to a maximum of three attempts in total. Should all three attempts fail to produce a sufficient amount of blood, then the BCO shall inform the DCO. The DCO shall terminate the *Sample* collection session and record this and the reasons for terminating the collection.

- **5.10.15** Sample collection equipment shall meet all the criteria as provided for in the International Standard for Testing and Investigations.
- **5.10.16** At the conclusion of the *Sample* collection session the *Athlete* and DCO shall sign the appropriate documentation to indicate their satisfaction that the documentation accurately reflects the details of the Athlete's Sample collection session, including any concerns expressed by the Athlete. The Athlete shall also record any irregularities or procedural deviations he/she identifies. irregularities or procedural deviations identified by the Athlete's accredited representative (if present), the DCO, or station staff shall be recorded on the form. The Athlete's representatives (if any) and the Athlete shall both sign the documentation if the Athlete is a Minor. Other persons present who had a formal role during the Athlete's Sample collection session may sign the documentation as a witness to the proceedings (See Article 5.10.3).
- **5.10.17** The accumulation of *Samples* may take place over time before dispatch to the laboratory. During this time, the *Samples* must be kept secure. If there is a prolonged delay in dispatching the *Samples* to the laboratory then storage in a cool, secure place is necessary to ensure no possible deterioration could occur. The DCO should detail and document the location of the stored *Samples* as well as the personnel who have access to and custody of them.
- **5.10.18** At *International Events* or *Competitions* which are delegated by the ITF, the *National Federation* and/or the Organizing Committee must ensure that a *Doping Control* station, reasonably separated from public activities with the following minimum requirements, is set up for the *Event*:
  - a) One (1) private room ("Doping Control station") exclusively dedicated for use by the DCO and Doping Control personnel with one (1) table, two (2) chairs, pens and paper, and one (1) lockable refrigerator; and
  - A waiting room/area with a suitable number of chairs as well as an appropriate amount of individually sealed, non-caffeinated and non-alcoholic beverages, which includes a mix of natural mineral water and soft drinks; and

- c) One (1) private, clean and equipped bathroom/toilet, adjacent or as near as possible to the *Doping Control* station and waiting area.
- **5.10.19** The *National Federation* and/or the Organizing Committee must also ensure that at least one (1) staff member is designated who is able to act as a point-of-contact and support for the *Doping Control* officer/s (DCOs) and the chaperone/s during the *Doping Control* mission, with the contact name and details of this staff member to be communicated to the ITF Anti-Doping Chairperson at least four (4) weeks prior to the starting date of the *Event*.

Prior to the *Event*, the ITF Anti-Doping Chairperson and/or its delegate may communicate to the *National Federation* and/or the Organizing Committee a specific number of chaperones needed for the *Event*. The *National Federation* and/or Organizing Committee shall accordingly be required to provide the number of voluntary chaperones so requested. These chaperones will be trained prior to performing their duties by the *Sample* collection authority appointed to collect *Samples* at the *Event*.

# 5.11 Out-of-Competition Testing

Out-Of-Competition Testing may be conducted by the ITF, WADA or a National Anti-Doping Organization (or agencies appointed by them) at any time or location in any member country. This Testing shall be carried out without any advance notice to the Athlete or his/her National Federation. Every Athlete affiliated with a National Federation is obliged to undergo Out-of-Competition Testing as decided by the ITF, WADA or the National Anti-Doping Organization.

# 5.12 Independent Observer Program

The International Taekwon-Do Federation and the organizing committees for the International Taekwon-Do Federation's *Events*, as well as the *National Federations* and the organizing committees for *National Events*, shall authorize and facilitate the *Independent Observer Program* at such *Events*.

#### ARTICLE 6 ANALYSIS OF SAMPLES

Samples shall be analyzed in accordance with the following principles:

### 6.1 Use of Accredited and Approved Laboratories

For purposes of Article 2.1, *Samples* shall be analyzed only in laboratories accredited or otherwise approved by *WADA*. The choice of the *WADA*-accredited or *WADA*-approved laboratory–used for the *Sample* analysis shall be determined exclusively by the International Taekwon-Do Federation.

[Comment to Article 6.1: Violations of Article 2.1 may be established only by Sample analysis performed by a laboratory accredited or otherwise approved by WADA. Violations of other Articles may be established using analytical results from other laboratories so long as the results are reliable.]

# **6.2** Purpose of Analysis of Samples

**6.2.1** Samples shall be analyzed to detect *Prohibited Substances* and *Prohibited Methods* and other substances as may be directed by *WADA* pursuant to the Monitoring Program described in Article 4.5 of the *Code*; or to assist the International Taekwon-Do Federation in profiling relevant parameters in an *Athlete's* urine, blood or other matrix, including DNA or genomic profiling; or for any other legitimate antidoping purpose. *Samples* may be collected and stored for future analysis.

[Comment to Article 6.2.1: For example, relevant profile information could be used to direct Target Testing or to support an anti-doping rule violation proceeding under Article 2.2, or both.]

**6.2.2** The ITF shall ask laboratories to analyze *Samples* in conformity with Article 6.4 of the *Code* and Article 4.7 of the International Standard for Testing and Investigations.

# 6.3 Research on Samples

No Sample may be used for research without the Athlete's written consent. Samples used for purposes other than Article 6.2 shall have any means of identification removed such that they cannot be traced back to a particular Athlete.

#### 6.4 Standards for Sample Analysis and Reporting

Laboratories shall analyze *Samples* and report results in conformity with the International Standard for Laboratories. To ensure effective *Testing*, *WADA* has adopted the Technical Document for Sport Specific Analysis (TDSSA), referenced at Article 5.4.1 of the *Code*, which establishes risk assessment-based *Sample* analysis menus appropriate for particular sports and sport disciplines, and laboratories shall analyze *Samples* in conformity with those menus, except as follows:

- **6.4.1** The International Taekwon-Do Federation may request that laboratories analyze its *Samples* using more extensive menus than those described in the TDSSA.
- **6.4.2** The International Taekwon-Do Federation may request that laboratories analyze its *Samples* using less extensive menus than

those described in the TDSSA only if it has satisfied *WADA* that, because of the particular circumstances of its sport, as set out in its test distribution plan, less extensive analysis would be appropriate.

**6.4.3** As provided in the International Standard for Laboratories, laboratories at their own initiative and expense may analyze *Samples* for *Prohibited Substances* or *Prohibited Methods* not included on the *Sample* analysis menu described in the TDSSA or specified by the *Testing* authority. Results from any such analysis shall be reported and have the same validity and *Consequence* as any other analytical result.

[Comment to Article 6.4: The objective of this Article is to extend the principle of "intelligent Testing" to the Sample analysis menu so as to most effectively and efficiently detect doping. It is recognized that the resources available to fight doping are limited and that increasing the Sample analysis menu may, in some sports and countries, reduce the number of Samples which can be analyzed.]

# **6.5 Further Analysis of Samples**

Any Sample may be stored and subsequently subjected to further analysis for the purposes set out in Article 6.2: (a) by WADA at any time; and/or (b) by the International Taekwon-Do Federation at any time before both the A and B Sample analytical results (or A Sample result where B Sample analysis has been waived or will not be performed) have been communicated by the International Taekwon-Do Federation to the Athlete as the asserted basis for an Article 2.1 anti-doping rule violation. Such further analysis of Samples shall conform with the requirements of the International Standard for Laboratories and the International Standard for Testing and Investigations.

#### ARTICLE 7 RESULTS MANAGEMENT

# 7.1 Responsibility for Conducting Results Management

- **7.1.1** The circumstances in which the International Taekwon-Do Federation shall take responsibility for conducting results management in respect of anti-doping rule violations involving *Athletes* and other *Persons* under its jurisdiction shall be determined by reference to and in accordance with Article 7 of the *Code*.
- **7.1.2** The ITF Anti-Doping Chairperson shall be responsible for conducting the review of all potential anti-doping rule violations as discussed in this Article 7.

# 7.2 Review of *Adverse Analytical Findings* From Tests Initiated by the International Taekwon-Do Federation

Results management in respect of the results of tests initiated by the International Taekwon-Do Federation (including tests performed by WADA ITF ANTI-DOPING RULES

pursuant to agreement with the International Taekwon-Do Federation) shall proceed as follows:

- **7.2.1** The results from all analyses must be sent to the International Taekwon-Do Federation in encoded form, in a report signed by an authorized representative of the laboratory. All communication must be conducted confidentially and in conformity with *ADAMS*.
- **7.2.2** Upon receipt of an *Adverse Analytical Finding*, the International Taekwon-Do Federation shall conduct a review to determine whether: (a) an applicable *TUE* has been granted or will be granted as provided in the International Standard for Therapeutic Use Exemptions, or (b) there is any apparent departure from the International Standard for Testing and Investigations or International Standard for Laboratories that caused the *Adverse Analytical Finding*.
- **7.2.3** If the review of an *Adverse Analytical Finding* under Article 7.2.2 reveals an applicable *TUE* or departure from the International Standard for Testing and Investigations or the International Standard for Laboratories that caused the *Adverse Analytical Finding*, the entire test shall be considered negative and the *Athlete*, the *Athlete's National Anti-Doping Organization* and *WADA* shall be so informed.

# 7.3 Notification After Review Regarding Adverse Analytical Findings

7.3.1 If the-review of an Adverse Analytical Finding under Article 7.2.2 does not reveal an applicable TUE or entitlement to a TUE as provided in the International Standard for Therapeutic Exemptions, or departure from the International Standard for Testing and Investigations or the International Standard for Laboratories that caused the Adverse Analytical Finding, the International Taekwon-Do Federation shall promptly notify the Athlete, and simultaneously the Athlete's National Anti-Doping Organization and WADA, in the manner set out in Article 14.1, of: (a) the Adverse Analytical Finding; (b) the anti-doping rule violated; (c) the Athlete's right to promptly request the analysis of the B Sample or, failing such request, that the B Sample analysis may be deemed waived; (d) the scheduled date, time and place for the B Sample analysis if the Athlete or the International Taekwon-Do Federation chooses to request an analysis of the B Sample; (e) the opportunity for the Athlete and/or the Athlete's representative to attend the B Sample opening and analysis in accordance with the International Standard for Laboratories if such analysis is requested; and (f) the Athlete's right to request copies of the A and B Sample laboratory documentation package which includes information as required by the International Standard for Laboratories. If the International Taekwon-Do Federation decides not to bring

forward the Adverse Analytical Finding as an anti-doping rule violation, it shall so notify the Athlete, the Athlete's National Anti-Doping Organization and WADA.

- **7.3.2** Where requested by the *Athlete* or the International Taekwon-Do Federation, arrangements shall be made to analyze the B *Sample* in accordance with the International Standard for Laboratories. An *Athlete* may accept the A *Sample* analytical results by waiving the requirement for B *Sample* analysis. The International Taekwon-Do Federation may nonetheless elect to proceed with the B *Sample* analysis.
- **7.3.3** The *Athlete* and/or his/her representative shall be allowed to be present at the analysis of the B *Sample*. Also, a representative of the International Taekwon-Do Federation as well as a representative of the *Athlete's National Federation* shall be allowed to be present.
- **7.3.4** If the B Sample analysis does not confirm the A Sample analysis, then (unless the International Taekwon-Do Federation takes the case forward as an anti-doping rule violation under Article 2.2) the entire test shall be considered negative and the Athlete, the Athlete's National Anti-Doping Organization and WADA shall be so informed.
- **7.3.5** If the B *Sample* analysis confirms the A *Sample* analysis, the findings shall be reported to the *Athlete*, the *Athlete's National Anti-Doping Organization* and to *WADA*.

#### 7.4 Review of Atypical Findings

- **7.4.1** As provided in the International Standard for Laboratories, in some circumstances laboratories are directed to report the presence of *Prohibited Substances*, which may also be produced endogenously, as *Atypical Findings*, i.e., as findings that are subject to further investigation.
- **7.4.2** Upon receipt of an *Atypical Finding*, the International Taekwon-Do Federation shall conduct a review to determine whether: (a) an applicable *TUE* has been granted or will be granted as provided in the International Standard for Therapeutic Use Exemptions, or (b) there is any apparent departure from the International Standard for Testing and Investigations or International Standard for Laboratories that caused the *Atypical Finding*.
- **7.4.3** If the review of an *Atypical Finding* under Article 7.4.2 reveals an applicable *TUE* or a departure from the International Standard for Testing and Investigations or the International Standard for Laboratories that caused the *Atypical Finding*, the entire test shall be

considered negative and the *Athlete*, the *Athlete's National Anti-Doping Organization*, and *WADA* shall be so informed.

- **7.4.4** If that review does not reveal an applicable *TUE* or a departure from the International Standard for Testing and Investigations or the International Standard for Laboratories that caused the *Atypical Finding*, the International Taekwon-Do Federation shall conduct the required investigation or cause it to be conducted. After the investigation is completed, either the *Atypical Finding* will be brought forward as an *Adverse Analytical Finding*-in accordance with Article 7.3.1, or else the *Athlete*, the *Athlete's National Anti-Doping Organization* and *WADA* shall be notified that the *Atypical Finding* will not be brought forward as an *Adverse Analytical Finding*.
- **7.4.5** The International Taekwon-Do Federation will not provide notice of an *Atypical Finding* until it has completed its investigation and has decided whether it will bring the *Atypical Finding* forward as an *Adverse Analytical Finding* unless one of the following circumstances exists:
  - **7.4.5.1** If the International Taekwon-Do Federation determines the B *Sample* should be analyzed prior to the conclusion of its investigation, it may conduct the B *Sample* analysis after notifying the *Athlete*, with such notice to include a description of the *Atypical Finding* and the information described in Article 7.3.1(d)-(f).
  - **7.4.5.2** If the International Taekwon-Do Federation is asked (a) by a *Major Event Organization* shortly before one of its *International Events*, or (b) by a sport organization responsible for meeting an imminent deadline for selecting team members for an *International Event*, to disclose whether any *Athlete* identified on a list provided by the *Major Event Organization* or sport organization has a pending *Atypical Finding*, the International Taekwon-Do Federation shall so advise the *Major Event Organization* or sports organization after first providing notice of the *Atypical Finding* to the *Athlete*.

# 7.5 Review of Atypical Passport Findings and Adverse Passport Findings

Review of Atypical Passport Findings and Adverse Passport Findings shall take place as provided in the International Standard for Testing and Investigations and International Standard for Laboratories. At such time as the International Taekwon-Do Federation is satisfied that an anti-doping rule violation has occurred, it shall promptly give the Athlete (and simultaneously the Athlete's National Anti-Doping Organization and WADA) notice of the anti-doping rule violation asserted and the basis of that assertion.

#### 7.6 Review of Whereabouts Failures

The International Taekwon-Do Federation shall review potential filing failures and missed tests, as defined in the International Standard for Testing and Investigations, in respect of *Athletes* who file their whereabouts information with the International Taekwon-Do Federation, in accordance with Annex I of the International Standard for Testing and Investigations. At such time as the International Taekwon-Do Federation is satisfied that an Article 2.4 anti-doping rule violation has occurred, it shall promptly give the *Athlete* (and simultaneously the *Athlete's National Anti-Doping Organization* and *WADA*) notice that it is asserting a violation of Article 2.4 and the basis of that assertion.

# 7.7 Review of Other Anti-Doping Rule Violations Not Covered by Articles 7.2–7.6

The International Taekwon-Do Federation shall conduct any follow-up investigation required into a possible anti-doping rule violation not covered by Articles 7.2- 7.6. At such time as the ITF is satisfied that an anti-doping rule violation has occurred, it shall promptly give the *Athlete* or other *Person* (and simultaneously the *Athlete's* or other *Person's National Anti-Doping Organization* and *WADA*) notice of the anti-doping rule violation asserted and the basis of that assertion.

#### 7.8 Identification of Prior Anti-Doping Rule Violations

Before giving an *Athlete* or other *Person* notice of an asserted anti-doping rule violation as provided above, the International Taekwon-Do Federation shall refer to *ADAMS* and contact *WADA* and other relevant *Anti-Doping Organizations* to determine whether any prior anti-doping rule violation exists.

### 7.9 Provisional Suspensions

**7.9.1 Mandatory** *Provisional Suspension*: If analysis of an A *Sample* has resulted in an *Adverse Analytical Finding* for a *Prohibited Substance* that is not a *Specified Substance*, or for a *Prohibited* ITF ANTI-DOPING RULES

Method, and a review in accordance with Article 7.2.2 does not reveal an applicable *TUE* or departure from the International Standard for Testing and Investigations or the International Standard for Laboratories that caused the *Adverse Analytical Finding*, a *Provisional Suspension* shall be imposed upon or promptly after the –notification described in Articles 7.2, 7.3 or 7.5.

- **7.9.2 Optional** *Provisional Suspension*: In case of an *Adverse Analytical Finding* for a *Specified Substance*, or in the case of any other anti-doping rule violations not covered by Article 7.9.1, the International Taekwon-Do Federation may impose a *Provisional Suspension* on the *Athlete* or other *Person* against whom the anti-doping rule violation is asserted at any time after the review and notification described in Articles 7.2–7.7 and prior to the final hearing as described in Article 8.
- **7.9.3** Where a *Provisional Suspension* is imposed pursuant to Article 7.9.1 or Article 7.9.2, the *Athlete* or other *Person* shall be given either: (a) an opportunity for a *Provisional Hearing* either before—or on a timely basis after imposition of the *Provisional Suspension*; or (b) an opportunity for an expedited final hearing in accordance with Article 8 on a timely basis after imposition of the *Provisional Suspension*. Furthermore, the *Athlete* or other *Person* has a right to appeal from the *Provisional Suspension* in accordance with Article 13.2 (save as set out in Article 7.9.3.1).
  - **7.9.3.1** The *Provisional Suspension* may be lifted if the *Athlete* demonstrates to the hearing panel that the violation is likely to have involved a *Contaminated Product*. A hearing panel's decision not to lift a mandatory *Provisional Suspension* on account of the *Athlete's* assertion regarding a *Contaminated Product* shall not be appealable.
  - 7.9.3.2 The Provisional Suspension shall be imposed (or shall not be lifted) unless the Athlete or other Person establishes that: (a) the assertion of an anti-doping rule violation has no reasonable prospect of being upheld, e.g., because of a patent flaw in the case against the *Athlete* or other *Person*; or (b) the Athlete or other Person has a strong arguable case that he/she bears *No Fault or Negligence* for the anti-doping rule violation(s) asserted, so that any period of *Ineligibility* that might otherwise be imposed for such a violation is likely to\_be completely eliminated by application of Article 10.4; or (c) some other facts exist that make it clearly unfair, in all of the circumstances, to impose a *Provisional Suspension* prior to a final hearing in accordance with Article 8. This ground is to be construed narrowly, and applied only in truly exceptional circumstances. For example, the fact that the Provisional Suspension would

prevent the *Athlete* or other *Person* participating in a particular *Competition* or *Event* shall not qualify as exceptional circumstances for these purposes.

- **7.9.4** If a *Provisional Suspension* is imposed based on an A *Sample Adverse Analytical Finding* and subsequent analysis of the B *Sample* does not confirm the A *Sample* analysis, then the *Athlete* shall not be subject to any further *Provisional Suspension* on account of a violation of Article 2.1. In circumstances where the *Athlete* (or the *Athlete's* team) has been removed from a *Competition* based on a violation of Article 2.1 and the subsequent B *Sample* analysis does not confirm the A *Sample* finding, then if-it is still possible for the *Athlete* or team to be reinserted, without otherwise affecting the *Competition*, the *Athlete* or team may continue to take part in the *Competition*. In addition, the *Athlete* or team may thereafter take part in other *Competitions* in the same *Event*.
- **7.9.5** In all cases where an *Athlete* or other *Person* has been notified of an anti-doping rule violation but a *Provisional Suspension* has not been imposed on him or her, the *Athlete* or other *Person* shall be offered the opportunity to accept a *Provisional Suspension* voluntarily pending the resolution of the matter.

[Comment to Article 7.9: Athletes and other Persons shall receive credit for a Provisional Suspension against any period of Ineligibility which is ultimately imposed. See Articles 10.11.3.1 and 10.11.3.2.]

#### 7.10 Resolution Without a Hearing

- **7.10.1** An *Athlete* or other *Person* against whom an anti-doping rule violation is asserted may admit that violation at any time, waive a hearing, and accept the *Consequences* that are mandated by these Anti-Doping Rules or (where some discretion as to *Consequences* exists under these Anti-Doping Rules) that have been offered by the International Taekwon-Do Federation.
- **7.10.2** Alternatively, if the *Athlete* or other *Person* against whom an anti-doping rule violation is asserted fails to dispute that assertion within the deadline specified in the notice sent by the International Taekwon-Do Federation asserting the violation, then he/she shall be deemed to have admitted the violation, to have waived a hearing, and to have accepted the *Consequences* that are mandated by these Anti-Doping Rules or (where some discretion as to *Consequences* exists under these Anti-Doping Rules) that have been offered by the International Taekwon-Do Federation.
- **7.10.3** In cases where Article 7.10.1 or Article 7.10.2 applies, a hearing before a hearing panel shall not be required. Instead the International ITF ANTI-DOPING RULES

Taekwon-Do Federation shall promptly issue a written decision confirming the commission of the anti-doping rule violation and the *Consequences* imposed as a result, and setting out the full reasons for any period of *Ineligibility* imposed, including (if applicable) a justification for why the maximum potential period of *Ineligibility* was not imposed. The International Taekwon-Do Federation shall send copies of that decision to other *Anti-Doping Organizations* with a right to appeal under Article 13.2.3, and shall *Publicly Disclose* that decision in accordance with Article 14.3.2.

# 7.11 Notification of Results Management Decisions

In all cases where the International Taekwon-Do Federation has asserted the commission of an anti-doping rule violation, withdrawn the assertion of an anti-doping rule violation, imposed a *Provisional Suspension*, or agreed with an *Athlete* or other *Person* on the imposition of *Consequences* without a hearing, the International Taekwon-Do Federation shall give notice thereof in accordance with Article 14.2.1 to other *Anti-Doping Organizations* with a right to appeal under Article 13.2.3.

# 7.12 Retirement from Sport

If an *Athlete* or other *Person* retires while the International Taekwon-Do Federation is conducting the results management process, the International Taekwon-Do Federation retains jurisdiction to complete its results management process. If an *Athlete* or other *Person* retires before any results management process has begun, and the International Taekwon-Do Federation would have had results management authority over the *Athlete* or other *Person* at the time the *Athlete* or other *Person* committed an anti-doping rule violation, the International Taekwon-Do Federation has authority to conduct results management in respect of that anti-doping rule violation.

[Comment to Article 7.12: Conduct by an Athlete or other Person before the Athlete or other Person was subject to the jurisdiction of any Anti-Doping Organization would not constitute an anti-doping rule violation but could be a legitimate basis for denying the Athlete or other Person membership in a sports organization.]

#### ARTICLE 8 RIGHT TO A FAIR HEARING

#### 8.1-Principles for a Fair Hearing

**8.1.1** When the International Taekwon-Do Federation sends a notice to an *Athlete* or other *Person* asserting an anti-doping rule violation, and the *Athlete* or other *Person* does not waive a hearing in accordance with Article 7.10.1 or Article 7.10.2, then the case shall be referred to

the International Taekwon-Do Federation Doping Hearing Panel for hearing and adjudication.

**8.1.2** Hearings shall be scheduled and completed within a reasonable time. Hearings held in connection with *Events* that are subject to these Anti-Doping Rules may be conducted by an expedited process where permitted by the hearing panel.

[Comment to Article 8.1.2: For example, a hearing could be expedited on the eve of a major Event where the resolution of the anti-doping rule violation is necessary to determine the Athlete's eligibility to participate in the Event, or during an Event where the resolution of the case will affect the validity of the Athlete's results or continued participation in the Event.]

- **8.1.3** The ITF Doping Hearing Panel shall determine the procedure to be followed at the hearing. The International Taekwon-Do Federation shall appoint the members of the ITF Doping Hearing Panel. The ITF Doping Hearing Panel shall consist of a President and Vice-President each of whom shall possess no less than five years legal experience, one medical practitioner of not less than five years standing and two additional members who shall possess relevant experience in anti-doping and/or sports administration. Every member shall be appointed on the basis that they possess the requisite skills and they are able to impartially and fairly partake in each hearing.
- **8.1.4** WADA and the National Federation of the Athlete or other Person may attend the hearing as observers. In any event, the International Taekwon-Do Federation shall keep WADA fully apprised as to the status of pending cases and the result of all hearings.
- **8.1.5** The International Taekwon-Do Federation Doping Hearing Panel shall act in a fair and impartial manner towards all parties at all times.

#### 8.2 Decisions

- **8.2.1** At the end of the hearing, or on a timely basis thereafter, the International Taekwon-Do Federation Doping Hearing Panel shall issue a written decision that includes the full reasons for the decision and for any period of *Ineligibility* imposed, including (if applicable) a justification for why the greatest potential *Consequences* were not imposed.
- **8.2.2** The decision may be appealed to the *CAS* as provided in Article 13. Copies of the decision shall be provided to the *Athlete* or other *Person* and to other *Anti-Doping Organizations* with a right to appeal under Article 13.2.3.

**8.2.3** If no appeal is brought against the decision, then (a) if the decision is that an anti-doping rule violation was committed, the decision shall be *Publicly Disclosed* as provided in Article 14.3.2; but (b) if the decision is that no anti-doping rule violation was committed, then the decision shall only be *Publicly Disclosed* with the consent of the *Athlete* or other *Person* who is the subject of the decision. The International Taekwon-Do Federation shall use reasonable efforts to obtain such consent, and if consent is obtained, shall *Publicly Disclose* the decision in its entirety or in such redacted form as the *Athlete* or other *Person* may approve.

The principles contained at Article 14.3.6 shall be applied in cases involving a *Minor*.

# 8.3 Single Hearing Before CAS

Cases asserting anti-doping rule violations may be heard directly at *CAS*, with no requirement for a prior hearing, with the consent of the *Athlete*, the ITF, *WADA*, and any other *Anti-Doping Organization* that would have had a right to appeal a first instance hearing decision to *CAS*.

[Comment to Article 8.3: Where all of the parties identified in this Article are satisfied that their interests will be adequately protected in a single hearing, there is no need to incur the extra expense of two hearings. An Anti-Doping Organization that wants to participate in the CAS hearing as a party or as an observer may condition its approval of a single hearing on being granted that right.]

#### ARTICLE 9 AUTOMATIC DISQUALIFICATION OF INDIVIDUAL RESULTS

An anti-doping rule violation in *Individual Sports* in connection with an *In-Competition* test automatically leads to *Disqualification* of the result obtained in that *Competition* with all resulting *Consequences*, including forfeiture of any medals, points and prizes.

[Comment to Article 9: For Team Sports, any awards received by individual players will be Disqualified. However, Disqualification of the team will be as provided in Article 11. In sports which are not Team Sports but where awards are given to teams, Disqualification or other disciplinary action against the team when one or more team members have committed an anti-doping rule violation shall be as provided in the applicable rules of the International Federation.]

### ARTICLE 10 SANCTIONS ON INDIVIDUALS

# 10.1 *Disqualification* of Results in the *Event* during which an Anti-Doping Rule Violation Occurs

An anti-doping rule violation occurring during or in connection with an *Event* may, upon the decision of the ruling body of the *Event*, lead to ITF ANTI-DOPING RULES

Disqualification of all of the Athlete's individual results obtained in that Event with all Consequences, including forfeiture of all medals, points and prizes, except as provided in Article 10.1.1.

Factors to be included in considering whether to *Disqualify* other results in an *Event* might include, for example, the seriousness of the *Athlete's* anti-doping rule violation and whether the *Athlete* tested negative in the other *Competitions*.

[Comment to Article 10.1: Whereas Article 9 Disqualifies the result in a single Competition in which the Athlete tested positive (e.g., the 100 meter backstroke), this Article may lead to Disqualification of all results in all races during the Event (e.g., the FINA World Championships).]

**10.1.1** If the *Athlete* establishes that he or she bears *No Fault or Negligence* for the violation, the *Athlete's* individual results in the other *Competitions* shall not be *Disqualified*<sub>±</sub> unless the *Athlete's* results in *Competitions* other than the *Competition* in which the anti-doping rule violation occurred were likely to have been affected by the *Athlete's* anti-doping rule violation.

# 10.2 Ineligibility for Presence, Use or Attempted Use, or Possession of a Prohibited Substance or Prohibited Method

The period of *Ineligibility* for a violation of Articles 2.1, 2.2 or 2.6 shall be as follows, subject to potential reduction or suspension pursuant to Articles 10.4, 10.5 or 10.6:

- **10.2.1** The period of *Ineligibility* shall be four years where:
  - **10.2.1.1** The anti-doping rule violation does not involve a *Specified Substance*, unless the *Athlete* or other *Person* can establish that the anti-doping rule violation was not intentional.
  - **10.2.1.2** The anti-doping rule violation involves a *Specified Substance* and the International Taekwon-Do Federation can establish that the anti-doping rule violation was intentional.
- **10.2.2** If Article 10.2.1 does not apply, the period of *Ineligibility* shall be two years.
- **10.2.3** As used in Articles 10.2 and 10.3, the term "intentional" is meant to identify those *Athletes* who cheat. The term therefore requires that the *Athlete* or other *Person* engaged in conduct which he or she knew constituted an anti-doping rule violation or knew that there was a significant risk that the conduct might constitute or result in an anti-doping rule violation and manifestly disregarded that risk.

An anti-doping rule violation resulting from an *Adverse Analytical Finding* for a substance which is only prohibited *In-Competition* shall be rebuttably presumed to be not intentional if the substance is a *Specified Substance and* the *Athlete* can establish that the *Prohibited Substance* was *Used Out-of-Competition*. An anti-doping rule violation resulting from an *Adverse Analytical Finding* for a substance which is only prohibited *In-Competition* shall not be considered intentional if the substance is not a *Specified Substance* and the *Athlete* can establish that the *Prohibited Substance* was *Used Out-of-Competition* in a context unrelated to sport performance.

# 10.3 Ineligibility for Other Anti-Doping Rule Violations

The period of *Ineligibility* for anti-doping rule violations other than as provided in Article 10.2 shall be as follows, unless Articles 10.5 or 10.6 are applicable:

- **10.3.1** For violations of Article 2.3 or Article 2.5, the period of *Ineligibility* shall be four years unless, in the case of failing to submit to *Sample* collection, the *Athlete* can establish that the commission of the anti-doping rule violation was not\_intentional (as defined in Article 10.2.3), in which case the period of *Ineligibility* shall be two years.
- **10.3.2** For violations of Article 2.4, the period of *Ineligibility* shall be two years, subject to reduction down to a minimum of one year, depending on the *Athlete's* degree of *Fault*. The flexibility between two years and one year of *Ineligibility* in this Article is not available to *Athletes* where a pattern of last-minute whereabouts changes or other conduct raises a serious suspicion that the *Athlete* was trying to avoid being available for *Testing*.
- **10.3.3** For violations of Article 2.7 or 2.8, the period of *Ineligibility* shall be a minimum of four years up to lifetime *Ineligibility*, depending on the seriousness of the violation. An Article 2.7 or Article 2.8 violation involving a *Minor* shall be considered a particularly serious violation, and, if committed by *Athlete Support Personnel* for violations other than for *Specified Substances*, shall result in lifetime *Ineligibility* for *Athlete Support Personnel*. In addition, significant violations of Article 2.7 or 2.8 which may also violate non-sporting laws and regulations, shall be reported to the competent administrative, professional or judicial authorities.

[Comment to Article 10.3.3: Those who are involved in doping Athletes or covering up doping should be subject to sanctions which are more severe than the Athletes who test positive. Since the authority of sport organizations is generally limited to Ineligibility for accreditation, membership and other sport benefits, reporting Athlete Support Personnel to competent authorities is an important step in the deterrence of doping.]

- **10.3.4** For violations of Article 2.9, the period of *Ineligibility* imposed shall be a minimum of two years, up to four years, depending on the seriousness of the violation.
- **10.3.5** For violations of Article 2.10, the period of *Ineligibility* shall be two years, subject to reduction down to a minimum of one year, depending on the *Athlete* or other *Person's* degree of *Fault* and other circumstances of the case.

[Comment to Article 10.3.5: Where the "other Person" referenced in Article 2.10 is an entity and not an individual, that entity may be disciplined as provided in Article 12.]

# 10.4 Elimination of the Period of *Ineligibility* where there is *No Fault or Negligence*

If an *Athlete* or other *Person* establishes in an individual case that he or she bears *No Fault or Negligence*, then the otherwise applicable period of *Ineligibility* shall be-eliminated.

[Comment to Article 10.4: This Article and Article 10.5.2 apply only to the imposition of sanctions; they are not applicable to the determination of whether an anti-doping rule violation has occurred They will only apply in exceptional circumstances, for example where an Athlete could prove that, despite all due care, he or she was sabotaged by a competitor. Conversely, No Fault or Negligence would not apply in the following circumstances: (a) a positive test resulting from a mislabeled or contaminated vitamin or nutritional supplement (Athletes are responsible for what they ingest (Article 2.1.1) and have been warned against the possibility of supplement contamination); (b) the Administration of a Prohibited Substance by the Athlete's personal physician or trainer without disclosure to the Athlete (Athletes are responsible for their choice of medical personnel and for advising medical personnel that they cannot be given any Prohibited Substance); and (c) sabotage of the Athlete's food or drink by a spouse, coach or other Person within the Athlete's circle of associates (Athletes are responsible for what they ingest and for the conduct of those Persons to whom they entrust access to their food and drink). However, depending on the unique facts of a particular case, any of the referenced illustrations could result in a reduced sanction under Article 10.5 based on No Significant Fault or Negligence

# 10.5 Reduction of the Period of *Ineligibility* based on *No Significant Fault or Negligence*

**10.5.1** Reduction of Sanctions for *Specified Substances* or *Contaminated Products* for Violations of Article 2.1, 2.2 or 2.6.

#### **10.5.1.1** *Specified Substances*

Where the anti-doping rule violation involves a *Specified Substance*, and the *Athlete* or other *Person* can establish *No Significant Fault or Negligence*, then the period of *Ineligibility* shall be, at a minimum, a reprimand and no period of *Ineligibility*, and at a maximum, two years of *Ineligibility*, depending on the *Athlete's* or other *Person's* degree of *Fault*.

#### **10.5.1.2** *Contaminated Products*

In cases where the Athlete or other Person can establish No Significant Fault or Negligence and that the detected Prohibited Substance came from a Contaminated Product, then the period of Ineligibility shall be, at a minimum, a reprimand and no period of Ineligibility, and at a maximum, two years Ineligibility, depending on the Athlete's or other Person's degree of Fault.

[Comment to Article 10.5.1.2: In assessing that Athlete's degree of Fault, it would, for example, be favorable for the Athlete if the Athlete had declared the product which was subsequently determined to be contaminated on his or her Doping Control form.]

**10.5.2** Application of *No Significant Fault or Negligence* beyond the Application of Article 10.5.1

If an *Athlete* or other *Person* establishes in an individual case where Article 10.5.1 is not applicable that he or she bears *No Significant Fault or Negligence*, then, subject to further reduction or elimination as provided in Article 10.6, the otherwise applicable period of *Ineligibility* may be reduced based on the *Athlete* or other *Person's* degree of *Fault*, but the reduced period of *Ineligibility* may not be less than one-half of the period of *Ineligibility* otherwise applicable. If the otherwise applicable period of *Ineligibility* is a lifetime, the reduced period under this Article may be no less than eight years.

[Comment to Article 10.5.2: Article 10.5.2 may be applied to any anti-doping rule violation except those Articles where intent is an element of the anti-doping rule violation (e.g., Article 2.5, 2.7, 2.8 or 2.9) or an element of a particular sanction (e.g., Article 10.2.1) or a range of Ineligibility is already provided in an Article based on the Athlete or other Person's degree of Fault.]

# 10.6 Elimination, Reduction, or Suspension of Period of *Ineligibility* or other *Consequences* for Reasons Other than *Fault*

- **10.6.1** Substantial Assistance in Discovering or Establishing Anti-Doping Rule Violations
  - 10.6.1.1 The International Taekwon-Do Federation may, prior to a final appellate decision under Article 13 or the expiration of the time to appeal, suspend a part of the period of *Ineligibility* ITF ANTI-DOPING RULES

imposed in an individual case in which it has results management authority where the Athlete or other Person has provided Substantial Assistance to an Anti-Doping Organization, criminal authority or professional disciplinary body which results in: (i) the Anti-Doping Organization discovering or bringing forward an anti-doping rule violation by another *Person*, or (ii) which results in a criminal or disciplinary body discovering or bringing forward a criminal offense or the breach of professional rules committed by another *Person* and the information provided by the *Person* providing *Substantial Assistance* is made available to the ITF. After a final appellate decision under Article 13 or the expiration of time to appeal, the International Taekwon-Do Federation may only suspend a part of the otherwise applicable period of *Ineligibility* with the approval of *WADA*. The extent to which the otherwise applicable period of *Ineligibility* may be suspended shall be based on the seriousness of the anti-doping rule violation committed by the Athlete or other Person and the significance of the Substantial Assistance provided by the Athlete or other Person to the effort to eliminate doping in sport. No more than three-quarters of the otherwise applicable period of *Ineligibility* may be suspended. If the otherwise applicable period of *Ineligibility* is a lifetime, the non-suspended period under this Article must be no less than eight years. Athlete or other Person fails to continue to cooperate and to provide the complete and credible Substantial Assistance upon which a suspension of the period of *Ineligibility* was based, the International Taekwon-Do Federation shall reinstate the original period of *Ineliaibility*. If the International Taekwon-Do decides to reinstate a suspended Federation period of *Ineligibility* or decides not to reinstate a suspended period of *Ineligibility*, that decision may be appealed by any *Person* entitled to appeal under Article 13.

To further encourage *Athletes* and other *Persons* to provide Substantial Assistance to Anti-Doping Organizations, at the request of the International Taekwon-Do Federation or at the request of the Athlete or other Person who has (or has been asserted to have) committed an anti-doping rule violation, WADA may agree at any stage of the results management process, including after a final appellate decision under Article 13, to what it considers to be an appropriate suspension of the otherwise-applicable period of Ineligibility and Consequences. In exceptional circumstances, WADA may agree to suspensions of the period of *Ineligibility* and other Consequences for Substantial Assistance greater than those otherwise provided in this Article, or even no period of *Ineligibility*, and/or no return of prize money or payment of fines or costs. WADA's approval shall be subject to reinstatement of sanction, as otherwise provided in this Article. Notwithstanding Article 13, *WADA's* decisions in the context of this Article may not be appealed by any other *Anti-Doping Organization*.

**10.6.1.3** If the International Taekwon-Do Federation suspends any part of an otherwise applicable sanction because of *Substantial Assistance*, then notice providing justification for the decision shall be provided to the other *Anti-Doping Organizations* with a right to appeal under Article 13.2.3 as provided in Article 14.2. In unique circumstances where *WADA* determines that it would be in the best interest of anti-doping, *WADA* may authorize the International Taekwon-Do Federation to enter into appropriate confidentiality agreements limiting or delaying the disclosure of the *Substantial Assistance* agreement or the nature of *Substantial Assistance* being provided.

[Comment to Article 10.6.1: The cooperation of Athletes, Athlete Support Personnel and other Persons who acknowledge their mistakes and are willing to bring other anti-doping rule violations to light is important to clean sport. This is the only circumstance under the Code where the suspension of an otherwise applicable period of Ineligibility is authorized.]

**10.6.2** Admission of an Anti-Doping Rule Violation in the Absence of Other Evidence

Where an *Athlete* or other *Person* voluntarily admits the commission of an anti-doping rule violation before having received notice of a *Sample* collection which could establish an anti-doping rule violation (or, in the case of an anti-doping rule violation other than Article 2.1, before receiving first notice of the admitted violation pursuant to Article 7) and that admission is the only reliable evidence of the violation at the time of admission, then the period of *Ineligibility* may be reduced, but not below one-half of the period of *Ineligibility* otherwise applicable.

[Comment to Article 10.6.2: This Article is intended to apply when an Athlete or other Person comes forward and admits to an anti-doping rule violation in circumstances where no Anti-Doping Organization is aware that an anti-doping rule violation might have been committed. It is not intended to apply to circumstances where the admission occurs after the Athlete or other Person believes he or she is about to be caught. The amount by which Ineligibility is reduced should be based on the likelihood that the Athlete or other Person would have been caught had he/she not come forward voluntarily.]

**10.6.3** Prompt Admission of an Anti-Doping Rule Violation after being Confronted with a Violation Sanctionable under Article 10.2.1 or Article 10.3.1

An Athlete or other Person potentially subject to a four-year sanction under Article 10.2.1 or 10.3.1 (for evading or refusing Sample collection or Tampering with Sample collection), by promptly admitting the asserted anti-doping rule violation after being confronted by the International Taekwon-Do Federation, and also upon the approval and at the discretion of both WADA and the International Taekwon-Do Federation, may receive a reduction in the period of Ineligibility down to a minimum of two years, depending on the seriousness of the violation and the Athlete or other Person's degree of Fault.

# **10.6.4** Application of Multiple Grounds for Reduction of a Sanction

Where an *Athlete* or other *Person* establishes entitlement to reduction in sanction under more than one provision of Article 10.4, 10.5 or 10.6, before applying any reduction or suspension under Article 10.6, the otherwise applicable period of *Ineligibility* shall be determined in accordance with Articles 10.2, 10.3, 10.4, and 10.5. If the *Athlete* or other *Person* establishes entitlement to a reduction or suspension of the period of *Ineligibility* under Article 10.6, then the period of *Ineligibility* may be reduced or suspended, but not below one-fourth of the otherwise applicable period of *Ineligibility*.

[Comment to Article 10.6.4: The appropriate sanction is determined in a sequence of four steps. First, the hearing panel determines which of the basic sanctions (Articles 10.2, 10.3, 10.4, or 10.5) apply to the particular anti-doping rule violation. Second, if the basic sanction provides for a range of sanctions, the hearing panel must determine the applicable sanction within that range according to the Athlete or other Person's degree of Fault. In a third step, the hearing panel establishes whether there is a basis for elimination, suspension, or reduction of the sanction (Article 10.6). Finally, the hearing panel decides on the commencement of the period of Ineligibility under Article 10.11 Several examples of how Article 10 is to be applied are found in Appendix 2.]

#### 10.7 Multiple Violations

- **10.7.1** For an *Athlete* or other *Person's* second anti-doping rule violation, the period of *Ineligibility* shall be the greater of:
  - (a) six months;
  - (b) one-half of the period of *Ineligibility* imposed for the first anti-doping rule violation without taking into account any reduction under Article 10.6; or
  - (c) twice the period of *Ineligibility* otherwise applicable to the second anti-doping rule violation treated as if it were a first ITF ANTI-DOPING RULES

violation, without taking into account any reduction under Article 10.6.

The period of *Ineligibility* established above may then be further reduced by the application of Article 10.6.

- **10.7.2** A third anti-doping rule violation will always result in a lifetime period of *Ineligibility*, except if the third violation fulfills the condition for elimination or reduction of the period of *Ineligibility* under Article 10.4 or 10.5, or involves a violation of Article 2.4. In these particular cases, the period of *Ineligibility* shall be from eight years to lifetime *Ineligibility*.
- **10.7.3** An anti-doping rule violation for which an *Athlete* or other *Person* has established *No Fault or Negligence* shall not be considered a prior violation for purposes of this Article.
- **10.7.4** Additional Rules for Certain Potential Multiple Violations 10.7.4.1\_\_\_For purposes of imposing sanctions under Article 10.7, an anti-doping rule violation will only be considered a second violation if the International Taekwon-Do Federation can establish that the *Athlete* or other *Person* committed the second anti-doping rule violation after the *Athlete* or other *Person* received notice pursuant to Article 7, or after the International Taekwon-Do Federation made reasonable efforts to give notice of the first anti-doping rule violation. If the International Taekwon-Do Federation cannot establish this, the violations shall be considered together as one single first violation, and the sanction imposed shall be based on the violation that carries the more severe sanction.
  - **10.7.4.2** If, after the imposition of a sanction for a first antidoping rule violation, the International Taekwon-Do Federation discovers facts involving an anti-doping rule violation by the *Athlete* or other *Person* which occurred prior to notification regarding the first violation, then the International Taekwon-Do Federation shall impose an additional sanction based on the sanction that could have been imposed if the two violations had been adjudicated at the same time. Results in all *Competitions* dating back to the earlier anti-doping rule violation will be *Disqualified* as provided in Article 10.8.
- **10.7.5** Multiple Anti-Doping Rule Violations during Ten-Year Period

For purposes of Article 10.7, each anti-doping rule violation must take place within the same ten-year period in order to be considered multiple violations.

# 10.8 Disqualification of Results in Competitions Subsequent to Sample Collection or Commission of an Anti-Doping Rule Violation

In addition to the automatic *Disqualification* of the results in the *Competition* which produced the positive *Sample* under Article 9, all other competitive results of the *Athlete* obtained from the date a positive *Sample* was collected (whether *In-Competition* or *Out-of-Competition*), or other anti-doping rule violation occurred, through the commencement of any *Provisional Suspension* or *Ineligibility* period, shall, unless fairness requires otherwise, be *Disqualified* with all of the resulting *Consequences* including forfeiture of any medals, points and prizes.

[Comment to Article 10.8: Nothing in these Anti-Doping Rules precludes clean Athletes or other Persons who have been damaged by the actions of a Person who has committed an anti-doping rule violation from pursuing any right which they would otherwise have to seek damages from such Person.]

# 10.9 Allocation of CAS Cost Awards and Forfeited Prize Money

The priority for repayment of *CAS* cost awards and forfeited prize money shall be: first, payment of costs awarded by *CAS*; second, reallocation of forfeited prize money to other *Athletes*; and third, reimbursement of the expenses of the International Taekwon-Do Federation.

### 10.10 Financial Consequences

Where an *Athlete* or other *Person* commits an anti-doping rule violation, the International Taekwon-Do Federation may, in its discretion and subject to the principle of proportionality, elect to a) recover from the *Athlete* or other *Person* costs associated with the anti-doping rule violation, regardless of the period of *Ineligibility* imposed and/or b) fine the *Athlete* or other *Person* in an amount up to  $\underline{\le 1000}$  Euros, only in cases where the maximum period of *Ineligibility* otherwise applicable has already been imposed.

The imposition of a financial sanction or the ITF's recovery of costs shall not be considered a basis for reducing the *Ineligibility* or other sanction which would otherwise be applicable under these Anti-Doping Rules or the *Code*.

# 10.11 Commencement of *Ineligibility* Period

Except as provided below, the period of *Ineligibility* shall start on the date of the final hearing decision providing for *Ineligibility* or, if the hearing is waived or there is no hearing, on the date *Ineligibility* is accepted or otherwise imposed.

#### **10.11.1** Delays Not Attributable to the *Athlete* or other *Person*

Where there have been substantial delays in the hearing process or other aspects of *Doping Control* not attributable to the *Athlete* or other *Person*, the International Taekwon-Do Federation may start the period of *Ineligibility* at an earlier date commencing as early as the date of *Sample* collection or the date on which another anti-doping rule violation last occurred. All competitive results achieved during the period of *Ineligibility*, including retroactive *Ineligibility*, shall be *Disqualified*.

[Comment to Article 10.11.1: In cases of anti-doping rule violations other than under Article 2.1, the time required for an Anti-Doping Organization to discover and develop facts sufficient to establish an anti-doping rule violation may be lengthy, particularly where the Athlete or other Person has taken affirmative action to avoid detection. In these circumstances, the flexibility provided in this Article to start the sanction at an earlier date should not be used.]

### 10.11.2 Timely Admission

Where the *Athlete* or other *Person* promptly (which, in all events, for an *Athlete* means before the *Athlete* competes again) admits the antidoping rule violation after being confronted with the anti-doping rule violation by the International Taekwon-Do Federation, the period of *Ineligibility* may start as early as the date of *Sample* collection or the date on which another anti-doping rule violation last occurred. In each case, however, where this Article is applied, the *Athlete* or other *Person* shall serve at least one-half of the period of *Ineligibility* going forward from the date the *Athlete* or other *Person* accepted the imposition of a sanction, the date of a hearing decision imposing a sanction, or the date the sanction is otherwise imposed. This Article shall not apply where the period of *Ineligibility* has already been reduced under Article 10.6.3.

# **10.11.3** Credit for *Provisional Suspension* or Period of *Ineligibility* Served

- **10.11.3.1** If a *Provisional Suspension* is imposed and respected by the *Athlete* or other *Person*, then the *Athlete* or other *Person* shall receive a credit for such period of *Provisional Suspension* against any period of *Ineligibility* which may ultimately be imposed. If a period of *Ineligibility* is served pursuant to a decision that is subsequently appealed, then the *Athlete* or other *Person* shall receive a credit for such period of *Ineligibility* served against any period of *Ineligibility* which may ultimately be imposed on appeal.
- **10.11.3.2** If an *Athlete* or other *Person* voluntarily accepts a *Provisional Suspension* in writing from the International Taekwon-Do Federation and thereafter respects the *Provisional* ITF ANTI-DOPING RULES

Suspension, the Athlete or other Person shall receive a credit for such period of voluntary Provisional Suspension against any period of Ineligibility which may ultimately be imposed. A copy of the Athlete or other Person's voluntary acceptance of a Provisional Suspension shall be provided promptly to each party entitled to receive notice of an asserted anti-doping rule violation under Article 14.1.

[Comment to Article 10.11.3.2: An Athlete's voluntary acceptance of a Provisional Suspension is not an admission by the Athlete and shall not be used in any way as to draw an adverse inference against the Athlete.]

- **10.11.3.3** No credit against a period of *Ineligibility* shall be given for any time period before the effective date of the *Provisional Suspension* or voluntary *Provisional Suspension* regardless of whether the *Athlete* elected not to compete or was suspended by his or her team.
- **10.11.3.4** In *Team Sports*, where a period of *Ineligibility* is imposed upon a team, unless fairness requires otherwise, the period of *Ineligibility* shall start on the date of the final hearing decision providing for *Ineligibility* or, if the hearing is waived, on the date *Ineligibility* is accepted or otherwise imposed. Any period of team *Provisional Suspension* (whether imposed or voluntarily accepted) shall be credited against the total period of *Ineligibility* to be served.

[Comment to Article 10.11: Article 10.11 makes clear that delays not attributable to the Athlete, timely admission by the Athlete and Provisional Suspension are the only justifications for starting the period of Ineligibility earlier than the date of the final hearing decision.]

# **10.12 Status During** *Ineligibility*

#### **10.12.1** Prohibition Against Participation During *Ineligibility*

No Athlete or other Person who has been declared Ineligible may, during the period of Ineligibility, participate in any capacity in a Competition or activity (other than authorized anti-doping education or rehabilitation programs) authorized or organized by any Signatory, Signatory's member organization, or a club or other member organization of a Signatory's member organization, or in Competitions authorized or organized by any professional league or any international or national level Event organization or any elite or national-level sporting activity funded by a governmental agency.

An Athlete or other Person subject to a period of Ineligibility longer than four years may, after completing four years of the period of ITF ANTI-DOPING RULES

Ineligibility, participate as an Athlete in local sport events not sanctioned or otherwise under the jurisdiction of a Code Signatory or member of a Code Signatory, but only so long as the local sport event is not at a level that could otherwise qualify such Athlete or other Person directly or indirectly to compete in (or accumulate points toward) a national championship or International Event, and does not involve the Athlete or other Person working in any capacity with Minors.

An *Athlete* or other *Person* subject to a period of *Ineligibility* shall remain subject to *Testing*.

[Comment to Article 10.12.1: For example, subject to Article 10.12.2 below, an Ineligible Athlete cannot participate in a training camp, exhibition or practice organized by his or her National Federation or a club which is a member of that National Federation or which is funded by a governmental agency. Further, an Ineligible Athlete may not compete in a non-Signatory professional league (e.g., the National Hockey League, the National Basketball Association, etc.), Events organized by a non-Signatory International Event organization or a non-Signatory national-level event organization without triggering the Consequences set forth in Article 10.12.3. The term "activity" also includes, for example, administrative activities, such as serving as an official, director, officer, employee, or volunteer of the organization described in this Article. Ineligibility imposed in one sport shall also be recognized by other sports (see Article 15.1, Mutual Recognition).]

### **10.12.2** Return to Training

As an exception to Article 10.12.1, an *Athlete* may return to train with a team or to use the facilities of a club or other member organization of the International Taekwon-Do Federation's member organization during the shorter of:

- (1) the last two months of the Athlete's period of Ineligibility, or
- (2) the last one-quarter of the period of *Ineligibility* imposed.

[Comment to Article 10.12.2: In many Team Sports and some individual sports (e.g., ski jumping and gymnastics), an Athlete cannot effectively train on his/her own so as to be ready to compete at the end of the Athlete's period of Ineligibility. During the training period described in this Article, an Ineligible Athlete may not compete or engage in any activity described in Article 10.12.1 other than training.]

# **10.12.3** Violation of the Prohibition of Participation During *Ineligibility*

Where an *Athlete* or other *Person* who has been declared *Ineligible* violates the prohibition against participation during *Ineligibility* described in Article 10.12.1, the results of such participation shall be *Disqualified* and a new period of *Ineligibility* equal in length up to the original period of *Ineligibility* shall be added to the end of the original period of ITF ANTI-DOPING RULES

Ineligibility. The new period of Ineligibility may be adjusted based on the Athlete or other Person's degree of Fault and other circumstances of the case. The determination of whether an Athlete or other Person has violated the prohibition against participation, and whether an adjustment is appropriate, shall be made by the Anti-Doping Organization whose results management led to the imposition of the initial period of Ineligibility. This decision may be appealed under Article 13.

Where an Athlete Support Person or other Person assists a Person in violating the prohibition against participation during Ineligibility, the International Taekwon-Do Federation shall impose sanctions for a violation of Article 2.9 for such assistance.

### **10.12.4** Withholding of Financial Support during *Ineligibility*

In addition, for any anti-doping rule violation not involving a reduced sanction as described in Article 10.4 or 10.5, some or all sport-related financial support or other sport-related benefits received by such *Person* will be withheld by the International Taekwon-Do Federation and its *National Federations*.

#### 10.13 Automatic Publication of Sanction

A mandatory part of each sanction shall include automatic publication, as provided in Article 14.3.

[Comment to Article 10: Harmonization of sanctions has been one of the most discussed and debated areas of anti-doping. Harmonization means that the same rules and criteria are applied to assess the unique facts of each case. Arguments against requiring harmonization of sanctions are based on differences between sports including, for example, the following: in some sports the Athletes are professionals making a sizable income from the sport and in others the Athletes are true amateurs; in those sports where an Athlete's career is short, a standard period of Ineligibility has a much more significant effect on the Athlete than in sports where careers are traditionally much longer. A primary argument in favor of harmonization is that it is simply not right that two Athletes from the same country who test positive for the same Prohibited Substance under similar circumstances should receive different sanctions only because they participate in different sports. In addition, flexibility in sanctioning has often been viewed as an unacceptable opportunity for some sporting organizations to be more lenient with dopers. The lack of harmonization of sanctions has also frequently been the source of jurisdictional conflicts between International Federations and National Anti-Doping Organizations. 1

# ARTICLE 11 CONSEQUENCES TO TEAMS

# 11 Consequences for Team Sports

#### 11.1 Testing of Teams

Where one member of a team (outside of *Team Sports*) has been notified of an anti-doping rule violation under Article 7 in connection with an *Event*, the ruling body for the *Event* shall conduct appropriate *Target Testing* of all members of the team during the *Event Period*.

#### 11.2 Consequences for Teams

- **11.2.1** An anti-doping rule violation committed by a member of a team in connection with an *In-Competition* test automatically leads to *Disqualification* of the result obtained by the team in that *Competition* with all resulting *Consequences* for the team and its members, including forfeiture of any medals, points and prizes.
- **11.2.2** An anti-doping rule violation committed by a member of a team occurring during or in connection with an *Event* may lead to *Disqualification* of all of the results obtained by the team in that *Event* with all *Consequences* for the team and its members, including forfeiture of all medals, points and prizes, except as provided in Article 11.2.3.
- **11.2.3** Where an *Athlete* who is a member of a team–committed an anti-doping rule violation during or in connection with one *Competition* in an *Event*, if the other member(s) of the team establish(es) that he/she/they bear(s) *No Fault or Negligence* for that violation, the results of the team in any other *Competition(s)* in that *Event* shall not be *Disqualified* unless the results of the team in the *Competition(s)* other than the *Competition* in which the anti-doping rule violation occurred were likely to have been affected by the *Athlete's* anti-doping rule violation.

# ARTICLE 12 SANCTIONS AND COSTS ASSESSED AGAINST SPORTING BODIES

- **12.1** The ITF has the authority to withhold some or all funding or other non-financial support to *National Federations* that are not in compliance with these Anti-Doping Rules.
- **12.2** National Federations shall be obligated to reimburse the ITF for all costs (including but not limited to laboratory fees, hearing expenses and travel) related to a violation of these Anti-Doping Rules committed by an Athlete or other Person affiliated with that National Federation.

- **12.3** The ITF may elect to take additional disciplinary action against *National Federations* with respect to recognition, the eligibility of its officials and *Athletes* to participate in *International Events* and fines based on the following:
  - **12.3.1** Four or more violations of these Anti-Doping Rules (other than violations involving Article 2.4) are committed by *Athletes* or other *Persons* affiliated with a *National Federation* within a 12-month period in *Testing* conducted by the International Taekwon-Do Federation or *Anti-Doping Organizations* other than the *National Federation* or its *National Anti-Doping Organization*. In such event the ITF may in its discretion elect to: (a) ban all officials from that *National Federation* for participation in any ITF activities for a period of up to two years and/or (b) fine the *National Federation* in an amount up to €1000 Euros. (For the purposes of this Rule, any fine paid pursuant to Rule 12.3.2 shall be credited against any fine assessed.)
    - **12.3.1.1** If four or more violations of these Anti-Doping Rules (other than violations involving Articles 2.4) are committed in addition to the violations described in Article 12.3.1 by *Athletes* or other *Persons* affiliated with a *National Federation* within a 12-month period in *Testing* conducted by the International Taekwon-Do Federation or *Anti-Doping Organizations* other than the *National Federation* or its *National Anti-Doping Organization*, then the International Taekwon-Do Federation may suspend that *National Federation's* membership for a period of up to 4 years.
  - **12.3.2** More than one *Athlete* or other *Person* from a *National Federation* commits an *Anti-Doping Rule* violation during an *International Event.* In such event, the International Taekwon-Do Federation may fine that *National Federation* in an amount up to €1000 Euros.
  - **12.3.3** A *National Federation* has failed to make diligent efforts to keep the ITF informed about an *Athlete's* whereabouts after receiving a request for that information from the ITF. In such event, the International Taekwon-Do Federation may fine the *National Federation* in an amount up to  $\underline{\in}1000$  Euros per *Athlete* in addition to all of the ITF costs incurred in *Testing* that *National Federation's Athletes*.

#### ARTICLE 13 APPEALS

# 13.1 Decisions Subject to Appeal

Decisions made under these Anti-Doping Rules may be appealed as set forth below in Article 13.2 through 13.7 or as otherwise provided in these Anti-Doping Rules, the *Code* or the *International Standards*. Such decisions shall ITF ANTI-DOPING RULES

remain in effect while under appeal unless the appellate body orders otherwise. Before an appeal is commenced, any post-decision review provided in the *Anti-Doping Organization's* rules must be exhausted, provided that such review respects the principles set forth in Article 13.2.2 below (except as provided in Article 13.1.3).

#### **13.1.1** Scope of Review Not Limited

The scope of review on appeal includes all issues relevant to the matter and is expressly not limited to the issues or scope of review before the initial decision maker.

### **13.1.2** *CAS* Shall Not Defer to the Findings Being Appealed

In making its decision, *CAS* need not give deference to the discretion exercised by the body whose decision is being appealed.

[Comment to Article 13.1.2: CAS proceedings are de novo. Prior proceedings do not limit the evidence or carry weight in the hearing before CAS.]

#### **13.1.3** *WADA* Not Required to Exhaust Internal Remedies

Where WADA has a right to appeal under Article 13 and no other party has appealed a final decision within the ITF's process, WADA may appeal such decision directly to CAS without having to exhaust other remedies in the ITF's process.

[Comment to Article 13.1.3: Where a decision has been rendered before the final stage of the ITF's process (for example, a first hearing) and no party elects to appeal that decision to the next level of the ITF's process (e.g., the Managing Board), then WADA may bypass the remaining steps in the ITF's internal process and appeal directly to CAS.]

# 13.2 Appeals from Decisions Regarding Anti-Doping Rule Violations, Consequences, Provisional Suspensions, Recognition of Decisions and Jurisdiction

A decision that an anti-doping rule violation was committed, a decision imposing *Consequences* or not imposing *Consequences* for an anti-doping rule violation, or a decision that no anti-doping rule violation was committed; a decision that an anti-doping rule violation proceeding cannot go forward for procedural reasons (including, for example, prescription); a decision by *WADA* not to grant an exception to the six months notice requirement for a retired *Athlete* to return to *Competition* under Article 5.7.1; a decision by *WADA* assigning results management under Article 7.1 of the *Code*; a decision by the International Taekwon-Do Federation not to bring forward an *Adverse Analytical Finding* or an *Atypical Finding* as an anti-doping rule violation, or a decision not to go forward with an anti-doping rule violation

after an investigation under Article 7.7; a decision to impose a *Provisional Suspension* as a result of a *Provisional Hearing*; the International Taekwon-Do Federation's failure to comply with Article 7.9; a decision that the International Taekwon-Do Federation lacks jurisdiction to rule on an alleged anti-doping rule violation or its *Consequences*; a decision to suspend, or not suspend, a period of *Ineligibility* or to reinstate, or not reinstate, a suspended period of *Ineligibility* under Article 10.6.1; a decision under Article 10.12.3; and a decision by the ITF not to recognize another *Anti-Doping Organization's* decision under Article 15, may be appealed exclusively as provided in Articles 13.2 – 13.7.

# **13.2.1** Appeals Involving *International-Level Athletes* or *International Events*

In cases arising from participation in an *International Event* or in cases involving *International-Level Athletes*, the decision may be appealed exclusively to *CAS*.

[Comment to Article 13.2.1: CAS decisions are final and binding except for any review required by law applicable to the annulment or enforcement of arbitral awards.]

# **13.2.2** Appeals Involving Other *Athletes* or Other *Persons*

In cases where Article 13.2.1 is not applicable, the decision may be appealed to a national-level appeal body, being an independent and impartial body established in accordance with rules adopted by the *National Anti-Doping Organization* having jurisdiction over the *Athlete* or other *Person*. The rules for such appeal shall respect the following principles: a timely hearing; a fair and impartial hearing panel; the right to be represented by counsel at the *Person's* own expense; and a timely, written, reasoned decision. If the *National Anti-Doping Organization* has not established such a body, the decision may be appealed to *CAS* in accordance with the provisions applicable before such court.

### **13.2.3** *Persons* Entitled to Appeal

In cases under Article 13.2.1, the following parties shall have the right to appeal to CAS: (a) the Athlete or other Person who is the subject of the decision being appealed; (b) the other party to the case in which the decision was rendered; (c) the International Taekwon-Do Federation (d) the National Anti-Doping Organization of the Person's country of residence or countries where the Person is a national or license holder; (e) the International Olympic Committee or International Paralympic Committee, as applicable, where the decision

may have an effect in relation to the Olympic Games or Paralympic Games, including decisions affecting eligibility for the Olympic Games or Paralympic Games; and (f) WADA.

In cases under Article 13.2.2, the parties having the right to appeal to the national-level appeal body shall be as provided in the National Anti-Doping Organization's rules but, at a minimum, shall include the following parties: (a) the Athlete or other Person who is the subject of the decision being appealed; (b) the other party to the case in which the decision was rendered; (c) the ITF; (d) the National Anti-Doping Organization of the Person's country of residence; (e) the International Olympic Committee or International Paralympic Committee, applicable, where the decision may have an effect in relation to the Olympic Games or Paralympic Games, including decisions affecting eligibility for the Olympic Games or Paralympic Games; and (f) WADA. For cases under Article 13.2.2, WADA, the International Olympic Committee, the International Paralympic Committee, and the ITF shall also have the right to appeal to CAS with respect to the decision of the national-level appeal body. Any party filing an appeal shall be entitled to assistance from CAS to obtain all relevant information from the Anti-Doping Organization whose decision is being appealed and the information shall be provided if CAS so directs.

Notwithstanding any other provision herein, the only *Person* who may appeal from a *Provisional Suspension* is the *Athlete* or other *Person* upon whom the *Provisional Suspension* is imposed.

#### **13.2.4** Cross Appeals and other Subsequent Appeals Allowed

Cross appeals and other subsequent appeals by any respondent named in cases brought to *CAS* under the *Code* are specifically permitted. Any party with a right to appeal under this Article 13 must file a cross appeal or subsequent appeal at the latest with the party's answer.

[Comment to Article 13.2.4: This provision is necessary because since 2011, CAS rules no longer permit an Athlete the right to cross appeal when an Anti-Doping Organization appeals a decision after the Athlete's time for appeal has expired. This provision permits a full hearing for all parties.]

#### 13.3 Failure to Render a Timely Decision

Where, in a particular case, the International Taekwon-Do Federation fails to render a decision with respect to whether an anti-doping rule violation was committed within a reasonable deadline set by WADA, WADA may elect to appeal directly to CAS as if the ITF had rendered a decision finding no anti-doping rule violation. If the CAS hearing panel determines that an anti-doping rule violation was committed and that WADA acted reasonably in electing to appeal directly to CAS, then WADA's costs and attorney fees in ITF ANTI-DOPING RULES

prosecuting the appeal shall be reimbursed to *WADA* by the International Taekwon-Do Federation.

[Comment to Article 13.3: Given the different circumstances of each anti-doping rule violation investigation and results management process, it is not feasible to establish a fixed time period for the ITF to render a decision before WADA may intervene by appealing directly to CAS. Before taking such action, however, WADA will consult with the ITF and give the ITF an opportunity to explain why it has not yet rendered a decision.]

# 13.4 Appeals Relating to TUEs

TUE decisions may be appealed exclusively as provided in Article 4.4.

# **13.5 Notification of Appeal Decisions**

Any Anti-Doping Organization that is a party to an appeal shall promptly provide the appeal decision to the Athlete or other Person and to the other Anti-Doping Organizations that would have been entitled to appeal under Article 13.2.3 as provided under Article 14.2.

#### 13.6 Appeal from Decisions Pursuant to Article 12

Decisions by the ITF pursuant to Article 12 may be appealed exclusively to *CAS* by the *National Federation*.

### 13.7 Time for Filing Appeals

#### **13.7.1** Appeals to *CAS*

The time to file an appeal to CAS shall be twenty-one days from the date of receipt of the decision by the appealing party. The above notwithstanding, the following shall apply in connection with appeals filed by a party entitled to appeal but which was not a party to the proceedings that led to the decision being appealed:

- A) Within fifteen days from notice of the decision, such party/ies shall have the right to request a copy of the case file from the body that issued the decision;
- B) If such a request is made within the fifteen-day period, then the party making such request shall have twenty-one days from receipt of the file to file an appeal to *CAS*.

The above notwithstanding, the filing deadline for an appeal filed by *WADA* shall be the later of:

A) Twenty-one days after the last day on which any other party ITF ANTI-DOPING RULES

in the case could have appealed; or

B) Twenty-one days after *WADA's* receipt of the complete file relating to the decision.

### **13.7.2** Appeals Under Article 13.2.2

The time to file an appeal to an independent and impartial body established at national level in accordance with rules established by the *National Anti-Doping Organization* shall be indicated by the same rules of the *National Anti-Doping Organization*.

The above notwithstanding, the filing deadline for an appeal or intervention filed by WADA shall be the later of:

- (a) Twenty-one days after the last day on which any other party in the case could have appealed, or
- (b) Twenty-one days after *WADA's* receipt of the complete file relating to the decision.

#### ARTICLE 14 CONFIDENTIALITY AND REPORTING

# 14.1 Information Concerning Adverse Analytical Findings, Atypical Findings, and Other Asserted Anti-Doping Rule Violations

**14.1.1** Notice of Anti-Doping Rule Violations to *Athletes* and other *Persons* 

Notice to *Athletes* or other *Persons* of anti-doping rule violations asserted against them shall occur as provided under Articles 7 and 14 of these Anti-Doping Rules. Notice to an *Athlete* or other *Person* who is a member of a *National Federation* may be accomplished by delivery of the notice to the *National Federation*.

**14.1.2** Notice of Anti-Doping Rule Violations to *National Anti-Doping Organizations*-and *WADA*-

Notice of the assertion of an anti-doping rule violation to *National Anti-Doping Organizations* and *WADA* shall occur as provided under Articles 7 and 14 of these Anti-Doping Rules, simultaneously with the notice to the *Athlete* or other *Person*.

#### **14.1.3** Content of an Anti-Doping Rule Violation Notice

Notification of an anti-doping rule violation under Article 2.1 shall include: the *Athlete's* name, country, sport and discipline within the ITF ANTI-DOPING RULES

sport, the *Athlete's* competitive level, whether the test was *In-Competition* or *Out-of-Competition*, the date of *Sample* collection, the analytical result reported by the laboratory, and other information as required by the International Standard for Testing and Investigations.

Notice of anti-doping rule violations other than under Article 2.1 shall include the rule violated and the basis of the asserted violation.

### 14.1.4 Status Reports

Except with respect to investigations which have not resulted in notice of an anti-doping rule violation pursuant to Article 14.1.1, *National Anti-Doping Organizations* and *WADA* shall be regularly updated on the status and findings of any review or proceedings conducted pursuant to Article 7, 8 or 13 and shall be provided with a prompt written reasoned explanation or decision explaining the resolution of the matter.

#### **14.1.5** Confidentiality

The recipient organizations shall not disclose this information beyond those *Persons* with a need to know (which would include the appropriate personnel at the applicable *National Olympic Committee*, *National Federation*, and team in a *Team Sport*) until the ITF has made *Public Disclosure* or has failed to make-*Public Disclosure* as required in Article 14.3.

**14.1.6** The International Taekwon-Do Federation shall ensure that information concerning *Adverse Analytical Findings*, *Atypical Findings*, and other asserted anti-doping rule violations remains confidential until such information is *Publicly Disclosed* in accordance with Article 14.3, and shall include provisions in any contract entered into between the ITF and any of its employees (whether permanent or otherwise), contractors, agents and consultants, for the protection of such confidential information as well as for the investigation and disciplining of improper and/or unauthorized disclosure of such confidential information.

# 14.2 Notice of Anti-Doping Rule Violation Decisions and Request for Files

**14.2.1** Anti-doping rule violation decisions rendered pursuant to Article 7.11, 8.2, 10.4, 10.5, 10.6, 10.12.3 or 13.5 shall include the full reasons for the decision, including, if applicable, a justification for why the greatest possible *Consequences* were not imposed. Where the decision is not in English or French, the International Taekwon-Do

Federation shall provide a short English or French summary of the decision and the supporting reasons.

**14.2.2** An Anti-Doping Organization having a right to appeal a decision received pursuant to Article 14.2.1 may, within fifteen days of receipt, request a copy of the full case file pertaining to the decision.

#### 14.3 Public Disclosure

- **14.3.1** The identity of any *Athlete* or other *Person* who is asserted by the ITF to have committed an anti-doping rule violation may be Publicly Disclosed by the International Taekwon-Do Federation only after notice has been provided to the Athlete or other Person in accordance with Article 7.3, 7.4, 7.5, 7.6 or 7.7 and simultaneously to WADA and the National Anti-Doping Organization of the Athlete or other *Person* in accordance with Article 14.1.2.
- 14.3.2 No later than twenty days after it has been determined in a final appellate decision under Article 13.2.1 or 13.2.2, or such appeal has been waived, or a hearing in accordance with Article 8 has been waived, or the assertion of an anti-doping rule violation has not been timely challenged, the International Taekwon-Do Federation must Publicly Report the disposition of the matter, including the sport, the anti-doping rule violated, the name of the Athlete or other Person committing the violation, the Prohibited Substance or Prohibited Method involved (if any), and the Consequences imposed. The International Taekwon-Do Federation must also Publicly Report within twenty days the results of final appeal decisions concerning antidoping rule violations, including the information described above.
- **14.3.3** In any case where it is determined, after a hearing or appeal, that the Athlete or other Person did not commit an anti-doping rule violation, the decision may be *Publicly Disclosed* only with the consent of the Athlete or other Person who is the subject of the decision. The International Taekwon-Do Federation shall use reasonable efforts to obtain such consent. If consent is obtained, the ITF shall Publicly Disclose the decision in its entirety or in such redacted form as the Athlete or other Person may approve.
- 14.3.4 Publication shall be accomplished at a minimum by placing the required information on the ITF's website or publishing it through other means and leaving the information up for the longer of one month or the duration of any period of *Ineligibility*.
- **14.3.5** Neither the International Taekwon-Do Federation, nor its *National Federations*, nor any official of either body, shall publicly comment on the specific facts of any pending case (as opposed to general description of process and science) except in response to ITF ANTI-DOPING RULES

public comments attributed to the *Athlete*, or other *Person* against whom an anti-doping rule violation is asserted, or their representatives.

**14.3.6** The mandatory *Public Reporting* required in Article 14.3.2 shall not be required where the *Athlete* or other *Person* who has been found to have committed an anti-doping rule violation is a *Minor*. Any optional *Public Reporting* in a case involving a *Minor* shall be proportionate to the facts and circumstances of the case.

### 14.4 Statistical Reporting

The International Taekwon-Do Federation shall publish at least annually a general statistical report of its *Doping Control* activities, with a copy provided to *WADA*. The ITF may also publish reports showing the name of each *Athlete* tested and the date of each *Testing*.

### 14.5 Doping Control Information Clearinghouse

To facilitate coordinated test distribution planning and to avoid unnecessary duplication in *Testing* by the various *Anti-Doping Organizations*, the International Taekwon-Do Federation shall report all *In-Competition* and *Out-of-Competition* tests on such *Athletes* to the *WADA* clearinghouse, using *ADAMS*, as soon as possible after such tests have been conducted. This information will be made accessible, where appropriate and in accordance with the applicable rules, to the *Athlete*, the *Athlete's National Anti-Doping Organization* and any other *Anti-Doping Organizations* with *Testing* authority over the *Athlete*.

#### 14.6 Data Privacy

- **14.6.1** The International Taekwon-Do Federation may collect, store, process or disclose personal information relating to *Athletes* and other *Persons* where necessary and appropriate to conduct their anti-doping activities under the *Code*, the *International Standards* (including specifically the International Standard for the Protection of Privacy and Personal Information) and these Anti-Doping Rules.
- **14.6.2** Any *Participant* who submits information including personal data to any *Person* in accordance with these Anti-Doping Rules shall be deemed to have agreed, pursuant to applicable data protection laws and otherwise, that such information may be collected, processed, disclosed and used by such *Person* for the purposes of the implementation of these Anti-Doping Rules, in accordance with the International Standard for the Protection of Privacy and Personal Information and otherwise as required to implement these Anti-Doping Rules.

#### **ARTICLE 15 APPLICATION AND RECOGNITION OF DECISIONS**

**15.1** Subject to the right to appeal provided in Article 13, *Testing*, hearing results or other final adjudications of any *Signatory* which are consistent with the *Code* and are within that *Signatory's* authority shall be applicable worldwide and shall be recognized and respected by the International Taekwon-Do Federation and all its *National Federations*.

[Comment to Article 15.1: The extent of recognition of TUE decisions of other Anti-Doping Organizations shall be determined by Article 4.4 and the International Standard for Therapeutic Use Exemptions.]

**15.2** The ITF and its *National Federations* shall recognize the measures taken by other bodies which have not accepted the *Code* if the rules of those bodies are otherwise consistent with the *Code*.

[Comment to Article 15.2: Where the decision of a body that has not accepted the Code is in some respects Code compliant and in other respects not Code compliant, the International Taekwon-Do Federation and its National Federations shall attempt to apply the decision in harmony with the principles of the Code. For example, if in a process consistent with the Code a non-Signatory has found an Athlete to have committed an anti-doping rule violation on account of the presence of a Prohibited Substance in his or her body but the period of Ineligibility applied is shorter than the period provided for in these Anti-Doping Rules, then the International Taekwon-Do Federation shall recognize the finding of an anti-doping rule violation and may conduct a hearing consistent with Article 8 to determine whether the longer period of Ineligibility provided in these Anti-Doping Rules should be imposed.]

**15.3** Subject to the right to appeal provided in Article 13, any decision of the International Taekwon-Do Federation regarding a violation of these Anti-Doping Rules shall be recognized by all *National Federations*, which shall take all necessary action to render such decision effective.

# ARTICLE 16 INCORPORATION OF THE INTERNATIONAL TAEKWON-DO FEDERATION'S ANTI-DOPING RULES AND OBLIGATIONS OF NATIONAL FEDERATIONS

**16.1** All *National Federations* and their members shall comply with these Anti-Doping Rules. All *National Federations* and other members shall include in their regulations the provisions necessary to ensure that the International Taekwon-Do Federation may enforce these Anti-Doping Rules directly as against *Athletes* under their anti-doping jurisdiction (including *National-Level Athletes*). These Anti-Doping Rules shall also be incorporated either directly or by reference into each *National Federation's* rules so that the *National* 

Federation may enforce them itself directly as against Athletes under its antidoping jurisdiction (including National-Level Athletes).

- **16.2** All *National Federations* shall establish rules requiring all *Athletes* and each *Athlete Support Personnel* who participates as coach, trainer, manager, team staff, official, medical or paramedical personnel in a *Competition* or activity authorized or organized by a *National Federation* or one of its member organizations to agree to be bound by these Anti-Doping Rules and to submit to the results management authority of the *Anti-Doping Organization* responsible under the *Code* as a condition of such participation.
- **16.3** All *National Federations* shall report any information suggesting or relating to an anti-doping rule violation to the International Taekwon-Do Federation and to their *National Anti-Doping Organizations*, and shall cooperate with investigations conducted by any *Anti-Doping Organization* with authority to conduct the investigation.
- **16.4** All *National Federations* shall have disciplinary rules in place to prevent *Athlete Support Personnel* who are *Using Prohibited Substances* or *Prohibited Methods* without valid justification from providing support to *Athletes* under the jurisdiction of the International Taekwon-Do Federation or the *National Federation*.
- **16.5** All *National Federations* shall be required to conduct anti-doping education in coordination with their *National Anti-Doping Organizations*.

### ARTICLE 17 STATUTE OF LIMITATIONS

No anti-doping rule violation proceeding may be commenced against an *Athlete* or other *Person* unless he or she has been notified of the anti-doping rule violation as provided in Article 7, or notification has been reasonably attempted, within ten years from the date the violation is asserted to have occurred.

# ARTICLE 18 INTERNATIONAL TAEKWON-DO FEDERATION COMPLIANCE REPORTS TO WADA

The International Taekwon-Do Federation will report to *WADA* on the ITF's compliance with the *Code* in accordance with Article 23.5.2 of the *Code*.

#### ARTICLE 19 EDUCATION

The International Taekwon-Do Federation shall plan, implement, evaluate and monitor information, education and prevention programs for doping-free sport on at least the issues listed at Article 18.2 of the *Code*, and shall support active participation by *Athletes* and *Athlete Support Personnel* in such programs.

# ARTICLE 20 AMENDMENT AND INTERPRETATION OF ANTI-DOPING RULES

- **20.1** These Anti-Doping Rules may be amended from time to time by the ITF.
- **20.2** These Anti-Doping Rules shall be interpreted as an independent and autonomous text and not by reference to existing law or statutes.
- **20.3** The headings used for the various Parts and Articles of these Anti-Doping Rules are for convenience only and shall not be deemed part of the substance of these Anti-Doping Rules or to affect in any way the language of the provisions to which they refer.
- **20.4** The *Code* and the *International Standards* shall be considered integral parts of these Anti-Doping Rules and shall prevail in case of conflict.
- **20.5** These Anti-Doping Rules have been adopted pursuant to the applicable provisions of the *Code* and shall be interpreted in a manner that is consistent with applicable provisions of the *Code*. The Introduction shall be considered an integral part of these Anti-Doping Rules.
- **20.6** The comments annotating various provisions of the *Code* and these Anti-Doping Rules shall be used to interpret these Anti-Doping Rules.
- **20.7** These Anti-Doping Rules have come into full force and effect on 22<sup>nd</sup> August 2019. They shall not apply retroactively to matters pending before the Effective Date; provided, however, that:
  - **20.7.1** Anti-doping rule violations taking place prior to the Effective Date count as "first violations" or "second violations" for purposes of determining sanctions under Article 10 for violations taking place after the Effective Date.
  - **20.7.2** The retrospective periods in which prior violations can be considered for purposes of multiple violations under Article 10.7.5 and the statute of limitations set forth in Article 17 are procedural rules and should be applied retroactively; provided, however, that Article 17 shall only be applied retroactively if the statute of limitations period has not already expired by the Effective Date. Otherwise, with respect to any anti-doping rule violation case which is pending as of the Effective Date and any anti-doping rule violation case brought after the Effective Date based on an anti-doping rule violation which occurred prior to the Effective Date, the case shall be governed by the substantive anti-doping rules in effect at the time the alleged anti-doping rule violation occurred unless the panel hearing the case determines the principle of "lex mitior" appropriately applies under the circumstances of the case.

- **20.7.3** Any Article 2.4 whereabouts failure (whether a filing failure or a missed test, as those terms are defined in the International Standard for Testing and Investigations) prior to the Effective Date shall be carried forward and may be relied upon, prior to expiry, in accordance with the International Standard for Testing and Investigations, but it shall be deemed to have expired 12 months after it occurred.
- **20.7.4** With respect to cases where a final decision finding an antidoping rule violation has been rendered prior to the Effective Date, but the *Athlete* or other *Person* is still serving the period of *Ineligibility* as of the Effective Date, the *Athlete* or other *Person* may apply to the *Anti-Doping Organization* which had results management responsibility for the anti-doping rule violation to consider a reduction in the period of *Ineligibility* in light of these Anti-Doping Rules. Such application must be made before the period of *Ineligibility* has expired. The decision rendered may be appealed pursuant to Article 13.2. These Anti-Doping Rules shall have no application to any case where a final decision finding an anti-doping rule violation has been rendered and the period of *Ineligibility* has expired.
- **20.7.5** For purposes of assessing the period of *Ineligibility* for a second violation under Article 10.7.1, where the sanction for the first violation was determined based on rules in force prior to the Effective Date, the period of *Ineligibility* which would have been assessed for that first violation had these Anti-Doping Rules been applicable, shall be applied.

### ARTICLE 21 INTERPRETATION OF THE CODE

- **21.1** The official text of the *Code* shall be maintained by *WADA* and shall be published in English and French. In the event of any conflict between the English and French versions, the English version shall prevail.
- **21.2** The comments annotating various provisions of the *Code* shall be used to interpret the *Code*.
- **21.3** The *Code* shall be interpreted as an independent and autonomous text and not by reference to the existing law or statutes of the *Signatories* or governments.
- **21.4** The headings used for the various Parts and Articles of the *Code* are for convenience only and shall not be deemed part of the substance of the *Code* or to affect in any way the language of the provisions to which they refer.

- **21.5** The *Code* shall not apply retroactively to matters pending before the date the *Code* is accepted by a *Signatory* and implemented in its rules. However, pre-*Code* anti-doping rule violations would continue to count as "first violations" or "second violations" for purposes of determining sanctions under Article 10 for subsequent post-*Code* violations.
- **21.6** The Purpose, Scope and Organization of the World Anti-Doping Program and the *Code* and Appendix 1, Definitions, and Appendix 2, Examples of the Application of Article 10, shall be considered integral parts of the *Code*.

# ARTICLE 22 ADDITIONAL ROLES AND RESPONSIBILITIES OF ATHLETES AND OTHER PERSONS

- **22.1** Roles and Responsibilities of *Athletes* 
  - **22.1.1** To be knowledgeable of and comply with these Anti-Doping Rules.
  - **22.1.2** To be available for *Sample* collection at all times.

[Comment to Article 22.1.2: With due regard to an Athlete's human rights and privacy, legitimate anti-doping considerations sometimes require Sample collection late at night or early in the morning. For example, it is known that some Athletes use low doses of EPO during these hours so that it will be undetectable in the morning.]

- **22.1.3** To take responsibility, in the context of anti-doping, for what they ingest and *Use*.
- **22.1.4**\_\_To inform medical personnel of their obligation not to *Use Prohibited Substances* and *Prohibited Methods* and to take responsibility to make sure that any medical treatment received does not violate these Anti-Doping Rules.
- **22.1.5** To disclose to their *National Anti-Doping Organization* and to the ITF any decision by a non-*Signatory* finding that the *Athlete* committed an anti-doping rule violation within the previous ten years.
- **22.1.6** To cooperate with *Anti-Doping Organizations* investigating anti-doping rule violations.
- **22.1.7** Failure by any *Athlete* to cooperate in full with *Anti-Doping Organizations* investigating anti-doping rule violations may result in a charge of misconduct under the ITF's disciplinary rules/code of conduct.

# 22.2 Roles and Responsibilities of Athlete Support Personnel

- **22.2.1** To be knowledgeable of and comply with these Anti-Doping Rules.
- **22.2.2** To cooperate with the *Athlete Testing* program.
- **22.2.3** To use his or her influence on *Athlete* values and behavior to foster anti-doping attitudes.
- **22.2.4** To disclose to his or her *National Anti-Doping Organization* and to the ITF any decision by a non-*Signatory* finding that he or she committed an anti-doping rule violation within the previous ten years.
- **22.2.5** To cooperate with *Anti-Doping Organizations* investigating anti-doping rule violations.
- **22.2.6** Failure by any *Athlete Support Personnel* to cooperate in full with *Anti-Doping Organizations* investigating anti-doping rule violations may result in a charge of misconduct under the ITF's disciplinary rules/code of conduct.
- **22.2.7** Athlete Support Personnel shall not Use or Possess any Prohibited Substance or Prohibited Method without valid justification.
- **22.2.8** Use or Possession of a Prohibited Substance or Prohibited Method by an Athlete Support Personnel without valid justification may result in a charge of misconduct under the ITF's disciplinary rules/code of conduct.

#### APPENDIX 1 - DEFINITIONS

<u>ADAMS</u>: The Anti-Doping Administration and Management System is a Web-based database management tool for data entry, storage, sharing, and reporting designed to assist stakeholders and *WADA* in their anti-doping operations in conjunction with data protection legislation.

<u>Administration</u>: Providing, supplying, supervising, facilitating, or otherwise participating in the *Use* or *Attempted Use* by another *Person* of a *Prohibited Substance* or *Prohibited Method*. However, this definition shall not include the actions of bona fide medical personnel involving a *Prohibited Substance* or *Prohibited Method* used for genuine and legal therapeutic purposes or other acceptable justification and shall not include actions involving *Prohibited Substances* which are not prohibited in *Out-of-Competition Testing* unless the circumstances as a whole demonstrate that such *Prohibited Substances* are not intended for genuine and legal therapeutic purposes or are intended to enhance sport performance.

<u>Adverse Analytical Finding</u>: A report from a <u>WADA</u>-accredited laboratory or other <u>WADA</u>-approved laboratory that, consistent with the International Standard for Laboratories and related Technical Documents, identifies in a <u>Sample</u> the presence of a <u>Prohibited Substance</u> or its <u>Metabolites</u> or <u>Markers</u> (including elevated quantities of endogenous substances) or evidence of the <u>Use</u> of a <u>Prohibited Method</u>.

<u>Adverse Passport Finding</u>: A report identified as an *Adverse Passport Finding* as described in the applicable *International Standards*.

<u>Anti-Doping Organization</u>: A <u>Signatory</u> that is responsible for adopting rules for initiating, implementing or enforcing any part of the <u>Doping Control</u> process. This includes, for example, the International Olympic Committee, the International Paralympic Committee, other <u>Major Event Organizations</u> that conduct <u>Testing</u> at their <u>Events</u>, <u>WADA</u>, International Federations, and <u>National Anti-Doping Organizations</u>.

<u>Athlete</u>: Any <u>Person</u> who competes in sport at the international level (as defined by each International Federation), or the national level (as defined by each <u>National Anti-Doping Organization</u>). An <u>Anti-Doping Organization</u> has discretion\_to apply anti-doping rules to an <u>Athlete</u> who is neither an <u>International-Level Athlete</u> nor a <u>National-Level Athlete</u>, and thus to bring them within the definition of "Athlete." In relation to <u>Athletes</u> who are neither <u>International-Level</u> nor <u>National-Level Athletes</u>, an <u>Anti-Doping Organization</u> may elect to: conduct limited <u>Testing</u> or no <u>Testing</u> at all; analyze <u>Samples</u> for less than the full menu of <u>Prohibited Substances</u>; require limited or no whereabouts information; or not require advance <u>TUEs</u>. However, if an Article 2.1, 2.3 or 2.5 anti-doping rule violation is committed by any <u>Athlete</u> over whom an <u>Anti-Doping Organization</u> has authority who competes below the international or national level, then the <u>Consequences</u> set forth in the <u>Code</u> (except Article 14.3.2) must be applied. For purposes of Article 2.8 and Article 2.9 and for

purposes of anti-doping information and education, any *Person* who participates in sport under the authority of any *Signatory*, government, or other sports organization accepting the *Code* is an *Athlete*.

[Comment: This definition makes it clear that all International- and National-Level Athletes are subject to the anti-doping rules of the Code, with the precise definitions of international and national level sport to be set forth in the anti-doping rules of the International Federations and National Anti-Doping Organizations, respectively. The definition also allows each National Anti-Doping Organization, if it chooses to do so, to expand its anti-doping program beyond International- or National-Level Athletes to competitors at lower levels of Competition or to individuals who engage in fitness activities but do not compete at all. Thus, a National Anti-Doping Organization could, for example, elect to test recreationallevel competitors but not require advance TUEs. But an anti-doping rule violation involving an Adverse Analytical Finding or Tampering results in all of the Consequences provided for in the Code (with the exception of Article 14.3.2). The decision on whether Consequences apply to recreational-level Athletes who engage in fitness activities but never compete is left to the National Anti-Doping Organization. In the same manner, a Major Event Organization holding an Event only for masters-level competitors could elect to test the competitors but not analyze Samples for the full menu of Prohibited Substances. Competitors at all levels of Competition should receive the benefit of anti-doping information and education.]

<u>Athlete Biological Passport</u>: The program and methods of gathering and collating data as described in the International Standard for Testing and Investigations and International Standard for Laboratories.

<u>Athlete Support Personnel</u>: Any coach, trainer, manager, agent, team staff, official, medical, paramedical personnel, parent or any other *Person* working with, treating or assisting an *Athlete* participating in or preparing for sports *Competition*.

<u>Attempt</u>: Purposely engaging in conduct that constitutes a substantial step in a course of conduct planned to culminate in the commission of an anti-doping rule violation. Provided, however, there shall be no anti-doping rule violation based solely on an <u>Attempt</u> to commit a violation if the <u>Person</u> renounces the <u>Attempt</u> prior to it being discovered by a third party not involved in the <u>Attempt</u>.

<u>Atypical Finding</u>: A report from a WADA-accredited laboratory or other WADA-approved laboratory which requires further investigation as provided by the International Standard for Laboratories or related Technical Documents prior to the determination of an Adverse Analytical Finding.

<u>Atypical Passport Finding</u>: A report described as an *Atypical Passport Finding* as described in the applicable *International Standards*.

<u>CAS</u>: The Court of Arbitration for Sport.

Code: The World Anti-Doping Code.

<u>Competition</u>: A single race, match, game or singular sport contest. For example, a basketball game or the finals of the Olympic 100-meter race in athletics. For stage races and other sport contests where prizes are awarded on a daily or other interim basis the distinction between a *Competition* and an *Event* will be as provided in the rules of the applicable International Federation.

Consequences of Anti-Doping Rule Violations ("Consequences"): An Athlete's or other *Person's* violation of an anti-doping rule may result in one or more of the (a) Disqualification means the Athlete's results in a particular Competition or Event are invalidated, with all resulting Consequences including forfeiture of any medals, points and prizes; (b) Ineligibility means the Athlete or other *Person* is barred on account of an anti-doping rule violation for a specified period of time from participating in any Competition or other activity or funding as provided in Article 10.12.1; (c) Provisional Suspension means the Athlete or other Person is barred temporarily from participating in any Competition or activity prior to the final decision at a hearing conducted under Article 8; (d) Financial Consequences means a financial sanction imposed for an anti-doping rule violation or to recover costs associated with an anti-doping rule violation; and (e) Public Disclosure or Public Reporting means the dissemination or distribution of information to the general public or Persons beyond those Persons entitled to earlier notification in accordance with Article 14. Teams in Team Sports may also be subject to *Consequences* as provided in Article 11 of the *Code*.

<u>Contaminated Product</u>: A product that contains a <u>Prohibited Substance</u> that is not disclosed on the product label or in information available in a reasonable Internet search.

Disqualification: See Consequences of Anti-Doping Rule Violations, above.

<u>Doping Control</u>: All steps and processes from test distribution planning through to ultimate disposition of any appeal including all steps and processes in between such as provision of whereabouts information, *Sample* collection and handling, laboratory analysis, *TUEs*, results management and hearings.

<u>Event</u>: A series of individual <u>Competitions</u> conducted together under one ruling body (e.g., the Olympic Games, the ITF World Championships, or Pan American Games).

**Event Venues**: Those venues so designated by the ruling body for the **Event**.

<u>Event Period</u>: The time between the beginning and end of an <u>Event</u>, as established by the ruling body of the <u>Event</u>.

<u>Fault</u>: Fault is any breach of duty or any lack of care appropriate to a particular situation. Factors to be taken into consideration in assessing an *Athlete* or other *Person's* degree of *Fault* include, for example, the *Athlete's* or other *Person's* 

experience, whether the *Athlete* or other *Person* is a *Minor*, special considerations such as impairment, the degree of risk that should have been perceived by the *Athlete* and the level of care and investigation exercised by the *Athlete* in relation to what should have been the perceived level of risk. In assessing the *Athlete's* or other *Person's* degree of *Fault*, the circumstances considered must be specific and relevant to explain the *Athlete's* or other *Person's* departure from the expected standard of behavior. Thus, for example, the fact that an *Athlete* would lose the opportunity to earn large sums of money during a period of *Ineligibility*, or the fact that the *Athlete* only has a short time left in his or her career, or the timing of the sporting calendar, would not be relevant factors to be considered in reducing the period of *Ineligibility* under Article 10.5.1 or 10.5.2.

[Comment: The criteria for assessing an Athlete's degree of Fault is the same under all Articles where Fault is to be considered. However, under Article 10.5.2, no reduction of sanction is appropriate unless, when the degree of Fault is assessed, the conclusion is that No Significant Fault or Negligence on the part of the Athlete or other Person was involved.]

<u>Financial Consequences</u>: see Consequences of Anti-Doping Rule Violations, above.

<u>In-Competition</u>: "In-Competition" means the period commencing twelve hours before a <u>Competition</u> in which the <u>Athlete</u> is scheduled to participate through the end of such <u>Competition</u> and the <u>Sample</u> collection process related to such <u>Competition</u>.

[Comment: An International Federation or ruling body for an Event may establish an "In-Competition" period that is different than the Event Period.]

<u>Independent Observer Program</u>: A team of observers, under the supervision of *WADA*, who observe and provide guidance on the *Doping Control* process at certain *Events* and report on their observations.

<u>Individual Sport:</u> Any sport that is not a *Team Sport.* 

<u>Ineligibility</u>: See Consequences of Anti-Doping Rule Violations above.

<u>International Event</u>: An <u>Event</u> or <u>Competition</u> where the International Olympic Committee, the International Paralympic Committee, an International Federation, a <u>Major Event Organization</u>, or another international sport organization is the ruling body for the <u>Event</u> or appoints the technical officials for the <u>Event</u>.

<u>International-Level Athlete</u>: Athletes who compete in sport at the international level, as defined by each International Federation, consistent with the International Standard for Testing and Investigations. For the sport of Taekwon-Do as governed by the International Taekwon-Do Federation, *International-Level Athletes* are defined as set out in the Scope section of the Introduction to these Anti-Doping Rules.

[Comment: Consistent with the International Standard for Testing and Investigations, the International Federation is free to determine the criteria it will use to classify Athletes as International-Level Athletes, e.g., by ranking, by participation in particular International Events, by type of license, etc. However, it must publish those criteria in clear and concise form, so that Athletes are able to ascertain quickly and easily when they will become classified as International-Level Athletes. For example, if the criteria include participation in certain International Events, then the International Federation must publish a list of those International Events.]

<u>International Standard</u>: A standard adopted by *WADA* in support of the <u>Code</u>. Compliance with an <u>International Standard</u> (as opposed to another alternative standard, practice or procedure) shall be sufficient to conclude that the procedures addressed by the <u>International Standard</u> were performed properly. <u>International Standards</u> shall include any Technical Documents issued pursuant to the <u>International Standard</u>.

<u>Major Event Organizations</u>: The continental associations of <u>National Olympic Committees</u> and other international multi-sport organizations that function as the ruling body for any continental, regional or other <u>International Event</u>.

<u>Marker</u>: A compound, group of compounds or biological variable(s) that indicates the *Use* of a *Prohibited Substance* or *Prohibited Method*.

Metabolite: Any substance produced by a biotransformation process.

*Minor*: A natural *Person* who has not reached the age of eighteen years.

<u>National Anti-Doping Organization</u>: The entity(ies) designated by each country as possessing the primary authority and responsibility to adopt and implement anti-doping rules, direct the collection of *Samples*, the management of test results, and the conduct of hearings, at the national level If this designation has not been made by the competent public authority(ies), the entity shall be the country's *National Olympic Committee* or its designee.

<u>National Event</u>: A sport <u>Event</u> or <u>Competition</u> involving <u>International</u> or <u>National-Level Athletes</u> that is not an <u>International Event</u>.

<u>National Federation</u>: A national or regional entity which is a member of or is recognized by the ITF as the entity governing ITF's sport in that nation or region.

<u>National-Level Athlete</u>: Athletes who compete in sport at the national level, as defined by each <u>National Anti-Doping Organization</u>, consistent with the International Standard for Testing and Investigations.

<u>National Olympic Committee</u>: The organization recognized by the International Olympic Committee. The term *National Olympic Committee* shall also include the National Sport Confederation in those countries where the National Sport

Confederation assumes typical *National Olympic Committee* responsibilities in the anti-doping area.

<u>No Fault or Negligence</u>: The <u>Athlete</u> or other <u>Person's</u> establishing that he or she did not know or suspect, and could not reasonably have known or suspected even with the exercise of utmost caution, that he or she had <u>Used</u> or been administered the <u>Prohibited Substance</u> or <u>Prohibited Method</u> or otherwise violated an anti-doping rule. Except in the case of a <u>Minor</u>, for any violation of Article 2.1, the <u>Athlete</u> must also establish how the <u>Prohibited Substance</u> entered his or her system.

<u>No Significant Fault or Negligence</u>: The <u>Athlete</u> or other <u>Person's</u> establishing that his or her <u>Fault</u> or negligence, when viewed in the totality of the circumstances and taking into account the criteria for <u>No Fault or Negligence</u>, was not significant in relationship to the anti-doping rule violation. Except in the case of a <u>Minor</u>, for any violation of Article 2.1, the <u>Athlete</u> must also establish how the <u>Prohibited Substance</u> entered his or her system.

[Comment: For Cannabinoids, an Athlete may establish No Significant Fault or Negligence by clearly demonstrating that the context of the Use was unrelated to sport performance.]

<u>Out-of-Competition</u>: Any period which is not *In-Competition*.

<u>Participant</u>: Any Athlete or Athlete Support Person.

<u>Person</u>: A natural <u>Person</u> or an organization or other entity.

Possession: The actual, physical Possession, or the constructive Possession (which shall be found only if the Person has exclusive control or intends to exercise control over the Prohibited Substance or Prohibited Method or the premises in which a Prohibited Substance or Prohibited Method exists); provided, however, that if the Person does not have exclusive control over the Prohibited Substance or Prohibited Method or the premises in which a Prohibited Substance or Prohibited Method exists, constructive Possession shall only be found if the Person knew about the presence of the Prohibited Substance or Prohibited Method and intended to exercise control over it. Provided, however, there shall be no anti-doping rule violation based solely on *Possession* if, prior to receiving notification of any kind that the Person has committed an anti-doping rule violation, the Person has taken concrete action demonstrating that the Person never intended to have Possession and has renounced *Possession* by explicitly declaring it to an *Anti-Doping Organization*. Notwithstanding anything to the contrary in this definition, the purchase (including by any electronic or other means) of a Prohibited Substance or Prohibited Method constitutes *Possession* by the *Person* who makes the purchase.

[Comment: Under this definition, steroids found in an Athlete's car would constitute a violation unless the Athlete establishes that someone else used the car; in that event, the Anti-Doping Organization must establish that, even though the Athlete did not have exclusive control over the car, the Athlete knew about the

steroids and intended to have control over the steroids. Similarly, in the example of steroids found in a home medicine cabinet under the joint control of an Athlete and spouse, the Anti-Doping Organization must establish that the Athlete knew the steroids were in the cabinet and that the Athlete intended to exercise control over the steroids. The act of purchasing a Prohibited Substance alone constitutes Possession, even where, for example, the product does not arrive, is received by someone else, or is sent to a third party address.]

<u>Prohibited List</u>: The List identifying the <u>Prohibited Substances</u> and <u>Prohibited Methods</u>.

Prohibited Method: Any method so described on the Prohibited List.

<u>Prohibited Substance</u>: Any substance, or class of substances, so described on the <u>Prohibited List</u>.

<u>Provisional Hearing</u>: For purposes of Article <u>7.9</u>, an expedited abbreviated hearing occurring prior to a hearing under Article 8 that provides the *Athlete* with notice and an opportunity to be heard in either written or oral form.

[Comment: A Provisional Hearing is only a preliminary proceeding which may not involve a full review of the facts of the case. Following a Provisional Hearing, the Athlete remains entitled to a subsequent full hearing on the merits of the case. By contrast, an "expedited hearing," as that term is used in Article 7.9, is a full hearing on the merits conducted on an expedited time schedule.]

<u>Provisional Suspension</u>: See Consequences of Anti-Doping Rule Violations above.

<u>Publicly Disclose or Publicly Report</u>: See Consequences of Anti-Doping Rule Violations above.

<u>Regional Anti-Doping Organization</u>: A regional entity designated by member countries to coordinate and manage delegated areas of their national anti-doping programs, which may include the adoption and implementation of anti-doping rules, the planning and collection of <u>Samples</u>, the management of results, the review of <u>TUEs</u>, the conduct of hearings, and the conduct of educational programs at a regional level.

<u>Registered Testing Pool</u>: The pool of highest-priority <u>Athletes</u> established separately at the international level by International Federations and at the national level by <u>National Anti-Doping Organizations</u>, who are subject to focused <u>In-Competition</u> and <u>Out-of-Competition Testing</u> as part of that International Federation's or <u>National Anti-Doping Organization's</u> test distribution plan and therefore are required to provide whereabouts information as provided in Article 5.6 of the <u>Code</u> and the International Standard for Testing and Investigations.

<u>Sample or Specimen</u>: Any biological material collected for the purposes of *Doping Control*.

[Comment: It has sometimes been claimed that the collection of blood Samples violates the tenets of certain religious or cultural groups. It has been determined that there is no basis for any such claim.]

<u>Signatories</u>: Those entities signing the <u>Code</u> and agreeing to comply with the <u>Code</u> as provided in Article 23 of the <u>Code</u>.

<u>Specified Substance</u>: See Article 4.2.2.

<u>Strict Liability</u>: The rule which provides that under Article 2.1 and Article 2.2, it is not necessary that intent, *Fault*, negligence, or knowing *Use* on the *Athlete's* part be demonstrated by the *Anti-Doping Organization* in order to establish an antidoping rule violation.

<u>Substantial Assistance</u>: For purposes of Article 10.6.1, a <u>Person</u> providing <u>Substantial Assistance</u> must: (1) fully disclose in a signed written statement all information he or she possesses in relation to anti-doping rule violations, and (2) fully cooperate with the investigation and adjudication of any case related to that information, including, for example, presenting testimony at a hearing if requested to do so by an <u>Anti-Doping Organization</u> or hearing panel. Further, the information provided must be credible and must comprise an important part of any case which is initiated or, if no case is initiated, must have provided a sufficient basis on which a case could have been brought.

<u>Tampering</u>: Altering for an improper purpose or in an improper way; bringing improper influence to bear; interfering improperly; obstructing, misleading or engaging in any fraudulent conduct to alter results or prevent normal procedures from occurring.

<u>Target Testing</u>: Selection of specific *Athletes* for *Testing* based on criteria set forth in the International Standard for Testing and Investigations.

<u>Team Sport</u>: A sport in which the substitution of players is permitted during a Competition.

<u>Testing</u>: The parts of the <u>Doping Control</u> process involving test distribution planning, <u>Sample</u> collection, <u>Sample</u> handling, and <u>Sample</u> transport to the laboratory.

<u>Trafficking</u>: Selling, giving, transporting, sending, delivering or distributing (or <u>Possessing</u> for any such purpose) a <u>Prohibited Substance</u> or <u>Prohibited Method</u> (either physically or by any electronic or other means) by an <u>Athlete</u>, <u>Athlete Support Person</u> or any other <u>Person</u> subject to the jurisdiction of an <u>Anti-Doping Organization</u> to any third party; provided, however, this definition shall not include the actions of "bona fide" medical personnel involving a <u>Prohibited Substance</u> used for genuine and legal therapeutic purposes or other acceptable justification, and shall not include actions involving <u>Prohibited Substances</u> which are not prohibited in

Out-of-Competition Testing unless the circumstances as a whole demonstrate such Prohibited Substances are not intended for genuine and legal therapeutic purposes or are intended to enhance sport performance.

<u>TUE</u>: Therapeutic Use Exemption, as described in Article 4.4.

<u>UNESCO Convention</u>: The International Convention against Doping in Sport adopted by the 33<sup>rd</sup> session of the UNESCO General Conference on 19 October, 2005 including any and all amendments adopted by the States Parties to the Convention and the Conference of Parties to the International Convention against Doping in Sport.

<u>Use</u>: The utilization, application, ingestion, injection or consumption by any means whatsoever of any *Prohibited Substance* or *Prohibited Method*.

<u>WADA</u>: The World Anti-Doping Agency.

[Comment: Defined terms shall include their plural and possessive forms, as well as those terms used as other parts of speech].

## APPENDIX 2 EXAMPLES OF THE APPLICATION OF ARTICLE 10

#### **EXAMPLE 1.**

Facts: An *Adverse Analytical Finding* results from the presence of an anabolic steroid in an *In-Competition* test (Article 2.1); the *Athlete* promptly admits the antidoping rule violation; the *Athlete* establishes *No Significant Fault or Negligence*; and the *Athlete* provides *Substantial Assistance*.

- 1. The starting point would be Article 10.2. Because the *Athlete* is deemed to have *No Significant Fault* that would be sufficient corroborating evidence (Articles 10.2.1.1 and 10.2.3) that the anti-doping rule violation was not intentional, the period of *Ineligibility* would thus be two years, not four years (Article 10.2.2).
- 2. In a second step, the panel would analyze whether the *Fault*-related reductions (Articles 10.4 and 10.5) apply. Based on *No Significant Fault or Negligence* (Article 10.5.2) since the anabolic steroid is not a *Specified Substance*, the applicable range of sanctions would be reduced to a range of two years to one year (minimum one-half of the two year sanction). The panel would then determine the applicable period of *Ineligibility* within this range based on the *Athlete's* degree of *Fault*. (Assume for purposes of illustration in this example that the panel would otherwise impose a period of *Ineligibility* of 16 months.)
- 3. In a third step, the panel would assess the possibility for suspension or reduction under Article 10.6 (reductions not related to *Fault*). In this case, only Article 10.6.1 (*Substantial Assistance*) applies. (Article 10.6.3, Prompt Admission, is not applicable because the period of *Ineligibility* is already below the two-year minimum set forth in Article 10.6.3.) Based on *Substantial Assistance*, the period of *Ineligibility* could be suspended by three-quarters of 16 months.\* The minimum period of *Ineligibility* would thus be four months. (Assume for purposes of illustration in this example that the panel suspends ten months and the period of *Ineligibility* would thus be six months.)
- 4. Under Article 10.11, the period of *Ineligibility*, in principle, starts on the date of the final hearing decision. However, because the *Athlete* promptly admitted the anti-doping rule violation, the period of *Ineligibility* could start as early as the date of *Sample* collection, but in any event the *Athlete* would have to serve at least one-half of the *Ineligibility* period (i.e., three months) after the date of the hearing decision (Article 10.11.2).
- 5. Since the *Adverse Analytical Finding* was committed in a *Competition*, the panel would have to automatically *Disqualify* the result obtained in that *Competition* (Article 9).

- 6. According to Article 10.8, all results obtained by the *Athlete* subsequent to the date of the *Sample* collection until the start of the period of *Ineligibility* would also be *Disqualified* unless fairness requires otherwise.
- 7. The information referred to in Article 14.3.2 must be *Publicly Disclosed*, unless the *Athlete* is a *Minor*, since this is a mandatory part of each sanction (Article 10.13).
- 8. The Athlete is not allowed to participate in any capacity in a Competition or other sport-related activity under the authority of any Signatory or its affiliates during the Athlete's period of Ineligibility (Article 10.12.1). However, the Athlete may return to train with a team or to use the facilities of a club or other member organization of a Signatory or its affiliates during the shorter of: (a) the last two months of the Athlete's period of Ineligibility, or (b) the last one-quarter of the period of Ineligibility imposed (Article 10.12.2). Thus, the Athlete would be allowed to return to training one and one-half months before the end of the period of Ineligibility.

#### EXAMPLE 2.

Facts: An Adverse Analytical Finding results from the presence of a stimulant which is a Specified Substance in an In-Competition test (Article 2.1); the Anti-Doping Organization is able to establish that the Athlete committed the anti-doping rule violation intentionally; the Athlete is not able to establish that the Prohibited Substance was Used Out-of-Competition in a context unrelated to sport performance; the Athlete does not promptly admit the anti-doping rule violation as alleged; the Athlete does provide Substantial Assistance.

- 1. The starting point would be Article 10.2. Because the *Anti-Doping Organization* can establish that the anti-doping rule violation was committed intentionally and the *Athlete* is unable to establish that the substance was permitted *Out-of-Competition* and the *Use* was unrelated to the *Athlete's* sport performance (Article 10.2.3), the period of *Ineligibility* would be four years (Article 10.2.1.2).
- 2. Because the violation was intentional, there is no room for a reduction based on *Fault* (no application of Articles 10.4 and 10.5). Based on *Substantial Assistance*, the sanction could be suspended by up to three-quarters of the four years.\* The minimum period of *Ineligibility* would thus be one year.
- 3. Under Article 10.11, the period of *Ineligibility* would start on the date of the final hearing decision.
- 4. Since the *Adverse Analytical Finding* was committed in a *Competition*, the panel would automatically *Disqualify* the result obtained in the *Competition*.

- 5. According to Article 10.8, all results obtained by the *Athlete* subsequent to the date of *Sample* collection until the start of the period of *Ineligibility* would also be *Disqualified* unless fairness requires otherwise.
- 6. The information referred to in Article 14.3.2 must be *Publicly Disclosed*, unless the *Athlete* is a *Minor*, since this is a mandatory part of each sanction (Article 10.13).
- 7. The Athlete is not allowed to participate in any capacity in a Competition or other sport-related activity under the authority of any Signatory or its affiliates during the Athlete's period of Ineligibility (Article 10.12.1). However, the Athlete may return to train with a team or to use the facilities of a club or other member organization of a Signatory or its affiliates during the shorter of: (a) the last two months of the Athlete's period of Ineligibility, or (b) the last one-quarter of the period of Ineligibility imposed (Article 10.12.2). Thus, the Athlete would be allowed to return to training two months before the end of the period of Ineligibility.

#### EXAMPLE 3.

<u>Facts</u>: An *Adverse Analytical Finding* results from the presence of an anabolic steroid in *an Out-of-Competition* test (Article 2.1); the *Athlete* establishes *No Significant Fault or Negligence*; the *Athlete* also establishes that the *Adverse Analytical Finding* was caused by a *Contaminated Product*.

- 1. The starting point would be Article 10.2. Because the *Athlete* can establish through corroborating evidence that he did not commit the anti-doping rule violation intentionally, i.e., he had *No Significant Fault* in *Using a Contaminated Product* (Articles 10.2.1.1 and 10.2.3), the period of *Ineligibility* would be two years (Articles 10.2.2).
- 2. In a second step, the panel would analyze the *Fault*-related possibilities for reductions (Articles 10.4 and 10.5). Since the *Athlete* can establish that the antidoping rule violation was caused by a *Contaminated Product* and that he acted with *No Significant Fault or Negligence* based on Article 10.5.1.2, the applicable range for the period of *Ineligibility* would be reduced to a range of two years to a reprimand. The panel would determine the period of *Ineligibility* within this range, based on the *Athlete's* degree of *Fault*. (Assume for purposes of illustration in this example that the panel would otherwise impose a period of *Ineligibility* of four months.)
- 3. According to Article 10.8, all results obtained by the *Athlete* subsequent to the date of *Sample* collection until the start of the period of *Ineligibility* would be *Disqualified* unless fairness requires otherwise.
- 4. The information referred to in Article 14.3.2 must be *Publicly Disclosed*, unless the *Athlete* is a *Minor*, since this is a mandatory part of each sanction

(Article 10.13).

5. The Athlete is not allowed to participate in any capacity in a Competition or other sport-related activity under the authority of any Signatory or its affiliates during the Athlete's period of Ineligibility (Article 10.12.1). However, the Athlete may return to train with a team or to use the facilities of a club or other member organization of a Signatory or its affiliates during the shorter of: (a) the last two months of the Athlete's period of Ineligibility, or (b) the last one-quarter of the period of Ineligibility imposed (Article 10.12.2). Thus, the Athlete would be allowed to return to training one month before the end of the period of Ineligibility.

## EXAMPLE 4.

<u>Facts</u>: An *Athlete* who has never had an *Adverse Analytical Finding* or been confronted with an anti-doping rule violation spontaneously admits that she *Used* an anabolic steroid to enhance her performance. The *Athlete* also provides *Substantial Assistance*.

- 1. Since the violation was intentional, Article 10.2.1 would be applicable and the basic period of *Ineligibility* imposed would be four years.
- 2. There is no room for *Fault*-related reductions of the period of *Ineligibility* (no application of Articles 10.4 and 10.5).
- 3. Based on the *Athlete's* spontaneous admission (Article 10.6.2) alone, the period of *Ineligibility* could be reduced by up to one-half of the four years. Based on the *Athlete's Substantial Assistance* (Article 10.6.1) alone, the period of *Ineligibility* could be suspended up to three-quarters of the four years.\* Under Article 10.6.4, in considering the spontaneous admission and *Substantial Assistance* together, the most the sanction could be reduced or suspended would be up to three-quarters of the four years. The minimum period of *Ineligibility* would be one year.
- 4. The period of *Ineligibility*, in principle, starts on the day of the final hearing decision (Article 10.11). If the spontaneous admission is factored into the reduction of the period of *Ineligibility*, an early start of the period of *Ineligibility* under Article 10.11.2 would not be permitted. The provision seeks to prevent an *Athlete* from benefitting twice from the same set of circumstances. However, if the period of *Ineligibility* was suspended solely on the basis of *Substantial Assistance*, Article 10.11.2 may still be applied, and the period of *Ineligibility* started as early as the *Athlete's* last *Use* of the anabolic steroid.
- 5. According to Article 10.8, all results obtained by the *Athlete* subsequent to the date of the anti-doping rule violation until the start of the period of *Ineligibility* would be *Disqualified* unless fairness requires otherwise.

- 6. The information referred to in Article 14.3.2 must be *Publicly Disclosed*, unless the *Athlete* is a *Minor*, since this is a mandatory part of each sanction (Article 10.13).
- 7. The *Athlete* is not allowed to participate in any capacity in a *Competition* or other sport-related activity under the authority of any *Signatory* or its affiliates during the *Athlete's* period of *Ineligibility* (Article 10.12.1). However, the *Athlete* may return to train with a team or to use the facilities of a club or other member organization of a *Signatory* or its affiliates during the shorter of: (a) the last two months of the *Athlete's* period of *Ineligibility*, or (b) the last one-quarter of the period of *Ineligibility* imposed (Article 10.12.2). Thus, the *Athlete* would be allowed to return to training two months before the end of the period of *Ineligibility*.

## EXAMPLE 5.

## Facts:

An Athlete Support Person helps to circumvent a period of Ineligibility imposed on an Athlete by entering him into a Competition under a false name. The Athlete Support Person comes forward with this anti-doping rule violation (Article 2.9) spontaneously before being notified of an anti-doping rule violation by an Anti-Doping Organization.

# Application of Consequences:

- 1. According to Article 10.3.4, the period of *Ineligibility* would be from two up to four years, depending on the seriousness of the violation. (Assume for purposes of illustration in this example that the panel would otherwise impose a period of *Ineligibility* of three years.)
- 2. There is no room for *Fault*-related reductions since intent is an element of the anti-doping rule violation in Article 2.9 (see comment to Article 10.5.2).
- 3. According to Article 10.6.2, provided that the admission is the only reliable evidence, the period of *Ineligibility* may be reduced down to one-half. (Assume for purposes of illustration in this example that the panel would impose a period of *Ineligibility* of 18 months.)
- 4. The information referred to in Article 14.3.2 must be *Publicly Disclosed* unless the *Athlete Support Person* is a *Minor*, since this is a mandatory part of each sanction (Article 10.13).

## EXAMPLE 6.

<u>Facts</u>: An *Athlete* was sanctioned for a first anti-doping rule violation with a period of *Ineligibility* of 14 months, of which four months were suspended because of *Substantial Assistance*. Now, the *Athlete* commits a second anti-doping rule violation resulting from the presence of a stimulant which is not a *Specified* 

Substance in an In-Competition test (Article 2.1); the Athlete establishes No Significant Fault or Negligence; and the Athlete provided Substantial Assistance. If this were a first violation, the panel would sanction the Athlete with a period of Ineligibility of 16 months and suspend six months for Substantial Assistance.

# Application of Consequences:

- 1. Article 10.7 is applicable to the second anti-doping rule violation because Article 10.7.4.1 and Article 10.7.5 apply.
- 2. Under Article 10.7.1, the period of *Ineligibility* would be the greater of:
  - (a) six months;
  - (b) one-half of the period of *Ineligibility* imposed for the first anti-doping rule violation without taking into account any reduction under Article 10.6 (in this example, that would equal one-half of 14 months, which is seven months); or
  - (c) twice the period of *Ineligibility* otherwise applicable to the second antidoping rule violation treated as if it were a first violation, without taking into account any reduction under Article 10.6 (in this example, that would equal two times 16 months, which is 32 months).

Thus, the period of *Ineligibility* for the second violation would be the greater of (a), (b) and (c), which is a period of *Ineligibility* of 32 months.

- 3. In a next step, the panel would assess the possibility for suspension or reduction under Article 10.6 (non-Fault-related reductions). In the case of the second violation, only Article 10.6.1 (Substantial Assistance) applies. Based on Substantial Assistance, the period of Ineligibility could be suspended by three-quarters of 32 months.\* The minimum period of Ineligibility would thus be eight months. (Assume for purposes of illustration in this example that the panel suspends eight months of the period of Ineligibility for Substantial Assistance, thus reducing the period of Ineligibility imposed to two years.)
- 4. Since the *Adverse Analytical Finding* was committed in a *Competition*, the panel would automatically *Disqualify* the result obtained in the *Competition*.
- 5. According to Article 10.8, all results obtained by the *Athlete* subsequent to the date of *Sample* collection until the start of the period of *Ineligibility* would also be *Disqualified* unless fairness requires otherwise.
- 6. The information referred to in Article 14.3.2 must be *Publicly Disclosed*, unless the *Athlete* is a *Minor*, since this is a mandatory part of each sanction (Article 10.13).
- 7. The *Athlete* is not allowed to participate in any capacity in a *Competition* or other sport-related activity under the authority of any *Signatory* or its affiliates during the *Athlete's* period of *Ineligibility* (Article 10.12.1). However, the *Athlete*

may return to train with a team or to use the facilities of a club or other member organization of a *Signatory* or its affiliates during the shorter of: (a) the last two months of the *Athlete's* period of *Ineligibility*, or (b) the last one-quarter of the period of *Ineligibility* imposed (Article 10.12.2). Thus, the *Athlete* would be allowed to return to training two months before the end of the period of *Ineligibility* 

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<sup>\*</sup> Upon the approval of WADA in exceptional circumstances, the maximum suspension of the period of *Ineligibility* for *Substantial Assistance* may be greater than three-quarters, and reporting and publication may be delayed.

## APPENDIX 3 Consent Form

As a member of *National Federation* and/or a *Participant* in an *Event* authorized or recognized by *National Federation* or International Federation, I hereby declare as follows:

I acknowledge that I am bound by, and confirm that I shall comply with, all of the provisions of the International Taekwon-Do Federation Anti-Doping Rules (as amended from time to time), the World Anti-Doping Code (the "**Code**") and the *International Standards* issued by the World Anti-Doping Agency, as amended from time to time, and published on WADA's website.

I consent and agree to the creation of my profile in the WADA Doping Control Clearing House ("ADAMS"), as requested under the Code to which the International Taekwon-Do Federation is a Signatory, and/or any other authorized National Anti-Doping Organization's similar system for the sharing of information, and to the entry on my Doping Control, whereabouts and Therapeutic Use Exemptions ("TUE") related data in such systems.

I acknowledge the authority of the ITF and its member *National Federations* and/or *National Anti-Doping Organizations* under the ITF Anti-Doping Rules to enforce, to manage results under, and to impose sanctions in accordance with, the ITF Anti-Doping Rules.

I also acknowledge and agree that any dispute arising out of a decision made pursuant to the International Taekwon-Do Federation Anti-Doping Rules, after exhaustion of the process expressly provided for in the ITF Anti-Doping Rules, may be appealed exclusively as provided in Article 13 of the International Taekwon-Do Federation Anti-Doping Rules to an appellate body for final and binding arbitration, which in the case of *International-Level Athletes* is the Court of Arbitration for Sport ("CAS").

I acknowledge and agree that the decisions of the arbitral appellate body referenced above shall be final and enforceable, and that I will not bring any claim, arbitration, lawsuit or litigation in any other court or tribunal.

Date	Print Name (Last Name, First Name)
Date of Birth (Day/Month/Year)	Signature (or, if a <i>Minor</i> , signature of legal guardian)

I have read and understand the present declaration.